

# Computer Vision II - Lecture 5

## Contour based Tracking

06.05.2014

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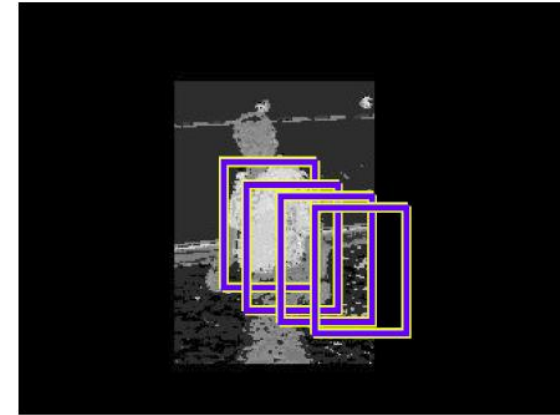
RWTH Aachen

<http://www.vision.rwth-aachen.de>

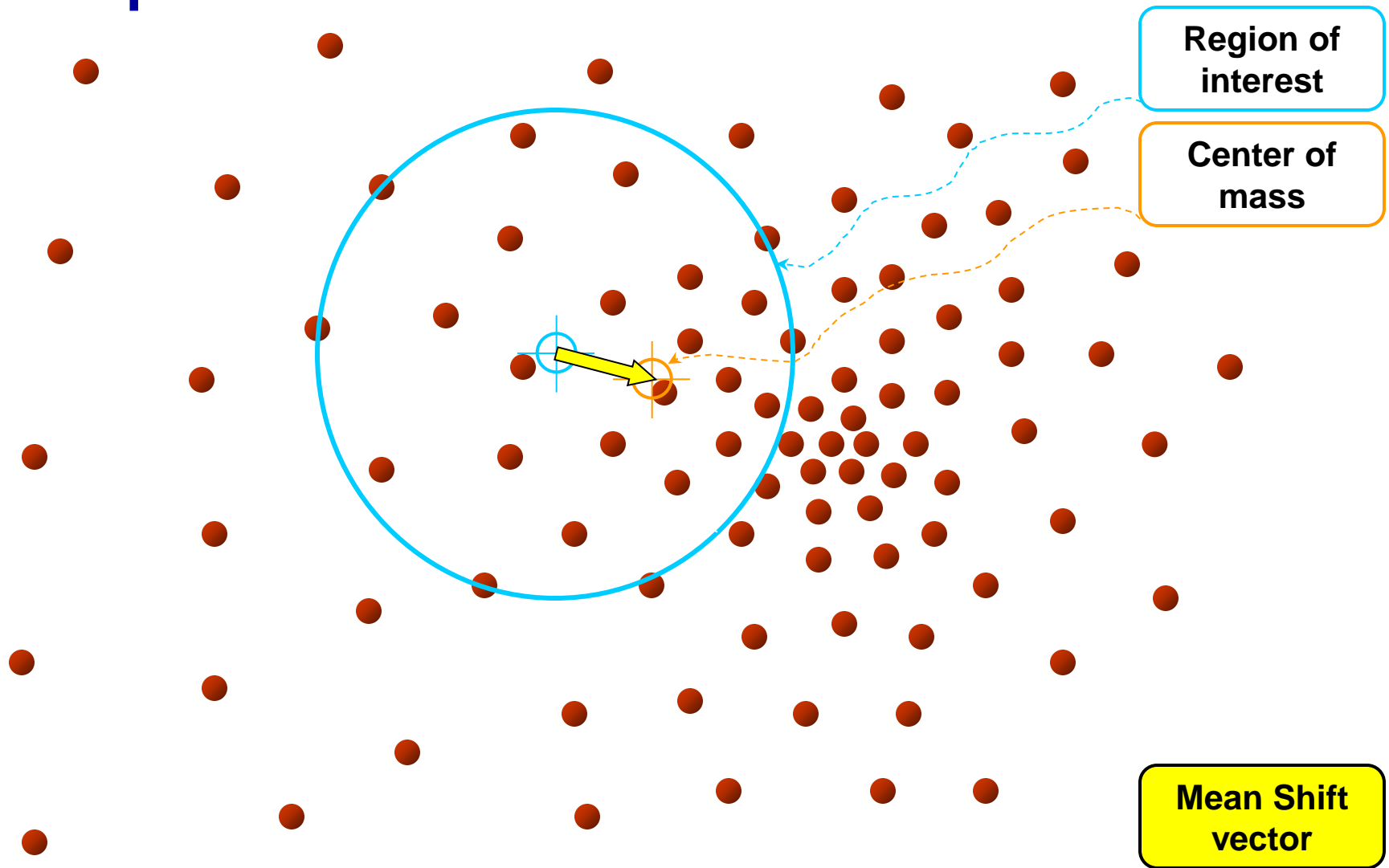
[leibe@vision.rwth-aachen.de](mailto:leibe@vision.rwth-aachen.de)

# Course Outline

- **Single-Object Tracking**
  - Background modeling
  - Template based tracking
  - Color based tracking
  - **Contour based tracking**
  - Tracking by online classification
  - Tracking-by-detection
- **Bayesian Filtering**
- **Multi-Object Tracking**
- **Articulated Tracking**



# Recap: Mean-Shift



**Objective: Find the densest region**

# Recap: Using Mean-Shift on Color Models

- Two main approaches

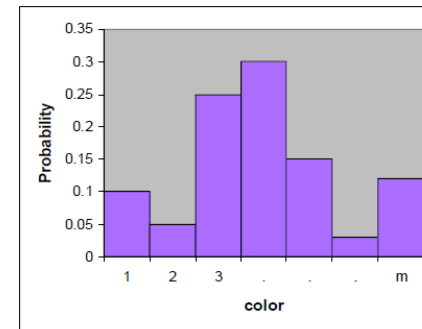
1. Explicit weight images

- Create a color likelihood image, with pixels weighted by the similarity to the desired color (best for unicolored objects).
- Use mean-shift to find spatial modes of the likelihood.



2. Implicit weight images

- Represent color distribution by a histogram.
- Use mean-shift to find the region that has the most similar color distribution.



# Mean-Shift on Weight Images

- **Ideal case**
  - Want an indicator function that returns 1 for pixels on the tracked object and 0 for all other pixels.
- **Instead**
  - Compute likelihood maps
  - Value at a pixel is proportional to the likelihood that the pixel comes from the tracked object.
- **Likelihood can be based on**
  - Color
  - Texture
  - Shape (boundary)
  - Predicted location



# Recap: Mean-Shift Tracking

- Mean-Shift finds the mode of an explicit likelihood image

Kernel weight evaluated at offset  $(\mathbf{a} - \mathbf{x})$

Weight from the likelihood image at pixel  $\mathbf{a}$

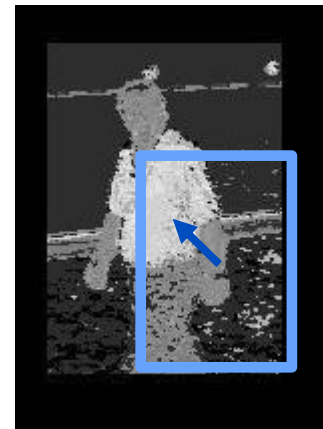
Offset of pixel  $\mathbf{a}$  to kernel center  $\mathbf{x}$

$$\Delta \mathbf{x} = \frac{\sum_{\mathbf{a}} K(\mathbf{a} - \mathbf{x}) w(\mathbf{a}) (\mathbf{a} - \mathbf{x})}{\underbrace{\sum_{\mathbf{a}} K(\mathbf{a} - \mathbf{x}) w(\mathbf{a})}_{\text{Normalization term}}}$$

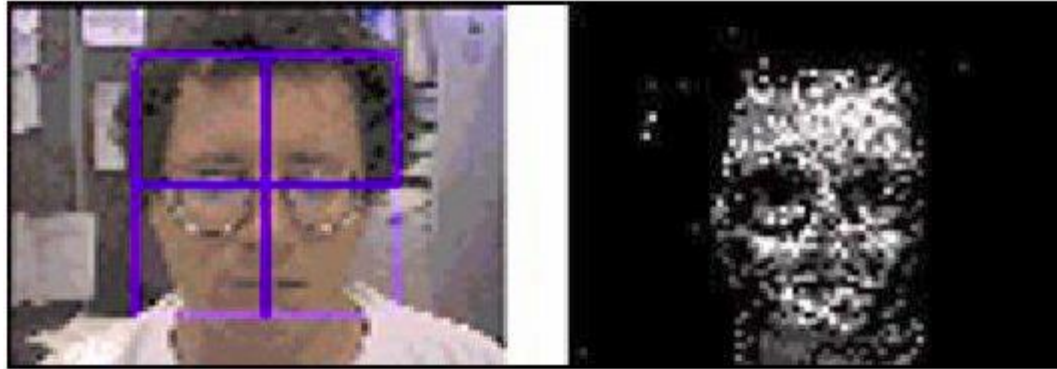
Sum over all pixels  $\mathbf{a}$  under kernel  $K$

Normalization term

$\Rightarrow$  Mean-shift computes the weighted mean of all shifts (offsets), weighted by the point likelihood and the kernel function centered at  $\mathbf{x}$ .



# Recap: Explicit Weight Images



- **Histogram backprojection**

- Histogram is an empirical estimate of  $p(\text{color} \mid \text{object}) = p(c \mid o)$

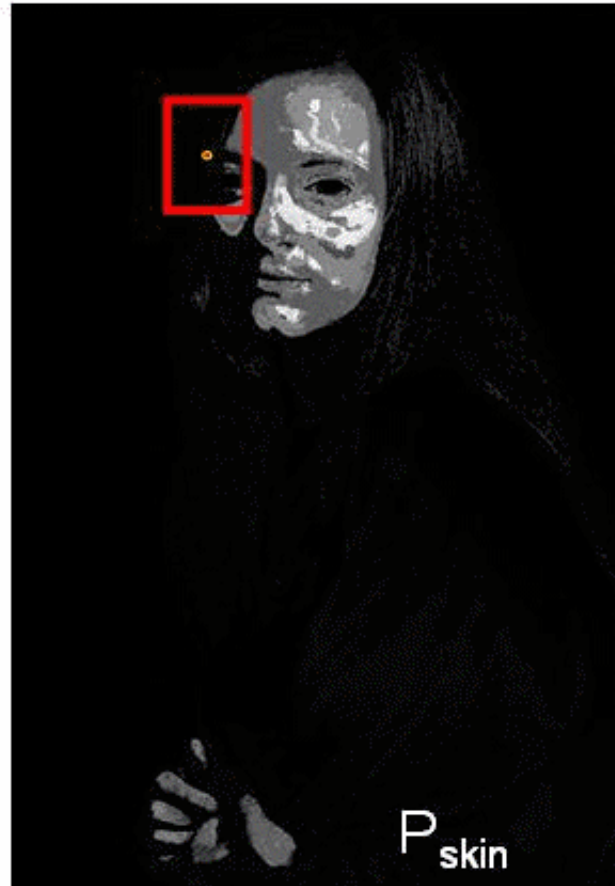
- Bayes' rule says: 
$$p(o \mid c) = \frac{p(c \mid o)p(o)}{p(c)}$$

- Simplistic approximation: assume  $p(o)/p(c)$  is constant.

⇒ Use histogram  $h$  as a lookup table to set pixel values in the weight image.

- If pixel maps to histogram bucket  $i$ , set weight for pixel to  $h(i)$ .

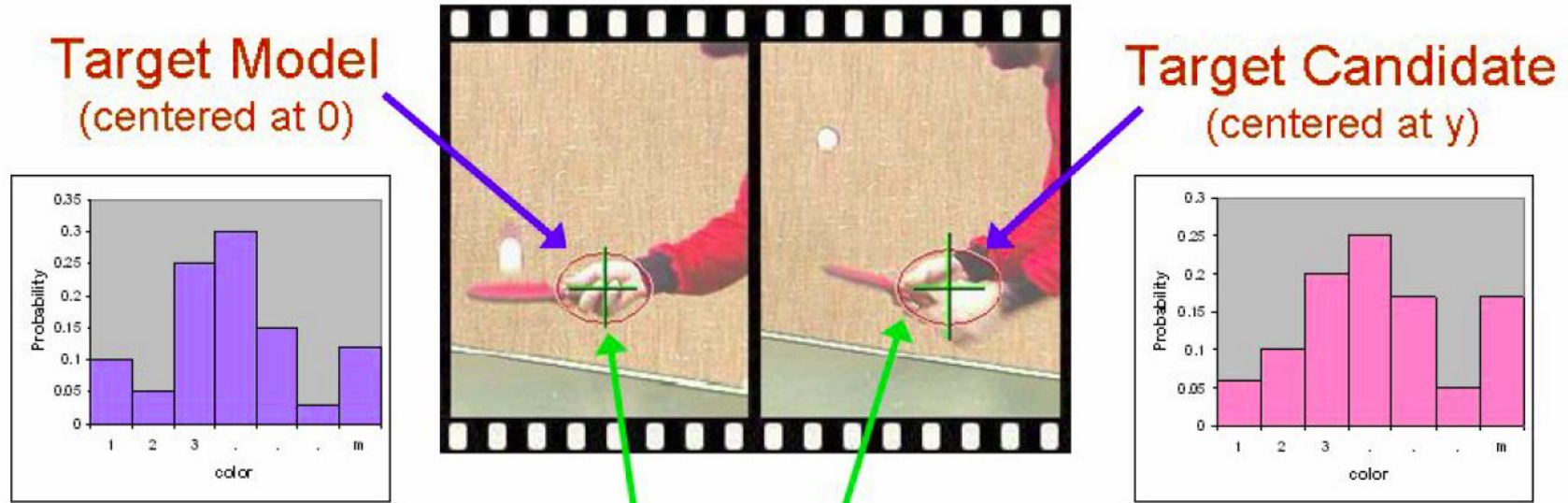
# Recap: Scale Adaptation in CAMshift



Mean shift window  
initialization



# Recap: Tracking with Implicit Weight Images



$$\vec{q} = \{q_u\}_{u=1..m} \quad \sum_{u=1}^m q_u = 1$$

$$\vec{p}(y) = \{p_u(y)\}_{u=1..m} \quad \sum_{u=1}^m p_u = 1$$

**Similarity Function:**  $f(y) = f[\vec{q}, \vec{p}(y)]$

# Recap: Comaniciu's Mean-Shift

- Color histogram representation

target model:  $\hat{\mathbf{q}} = \{\hat{q}_u\}_{u=1\dots m}$

$$\sum_{u=1}^m \hat{q}_u = 1$$

target candidate:  $\hat{\mathbf{p}}(\mathbf{y}) = \{\hat{p}_u(\mathbf{y})\}_{u=1\dots m}$

$$\sum_{u=1}^m \hat{p}_u = 1 .$$

- Measuring distances between histograms

- Distance as a function of window location  $\mathbf{y}$

$$d(\mathbf{y}) = \sqrt{1 - \rho[\hat{\mathbf{p}}(\mathbf{y}), \hat{\mathbf{q}}]} ,$$

- where  $\hat{\rho}(\mathbf{y})$  is the **Bhattacharyya coefficient**

$$\hat{\rho}(\mathbf{y}) \equiv \rho[\hat{\mathbf{p}}(\mathbf{y}), \hat{\mathbf{q}}] = \sum_{u=1}^m \sqrt{\hat{p}_u(\mathbf{y}) \hat{q}_u} ,$$

# Recap: Comaniciu's Mean-Shift

- Compute histograms via Parzen estimation

$$\hat{q}_u = C \sum_{i=1}^n k(\|\mathbf{x}_i^*\|^2) \delta [b(\mathbf{x}_i^*) - u] ,$$

$$\hat{p}_u(\mathbf{y}) = C_h \sum_{i=1}^{n_h} k \left( \left\| \frac{\mathbf{y} - \mathbf{x}_i}{h} \right\|^2 \right) \delta [b(\mathbf{x}_i) - u] ,$$

- where  $k(\cdot)$  is some radially symmetric smoothing kernel profile,  $\mathbf{x}_i$  is the pixel at location  $i$ , and  $b(\mathbf{x}_i)$  is the index of its bin in the quantized feature space.
- Consequence of this formulation
  - Gathers a histogram over a neighborhood
  - Also allows interpolation of histograms centered around an off-lattice location.

# Recap: Result of Taylor Expansion

- Simple update procedure: At each iteration, perform

$$\hat{\mathbf{y}}_1 = \frac{\sum_{i=1}^{n_h} \mathbf{x}_i w_i g \left( \left\| \frac{\hat{\mathbf{y}}_0 - \mathbf{x}_i}{h} \right\|^2 \right)}{\sum_{i=1}^{n_h} w_i g \left( \left\| \frac{\hat{\mathbf{y}}_0 - \mathbf{x}_i}{h} \right\|^2 \right)} \quad \text{where } g(x) = -k'(x).$$

- which is just standard mean-shift on (implicit) weight image  $w_i$ .
- Let's look at the weight image more closely. For each pixel  $\mathbf{x}_i$

$$w_i = \sum_{u=1}^m \sqrt{\frac{\hat{q}_u}{\hat{p}_u(\hat{\mathbf{y}}_0)}} \delta [b(\mathbf{x}_i) - u].$$

This is only 1  
once in the  
summation

⇒ If pixel  $\mathbf{x}_i$ 's value maps to histogram bucket  $B$ , then

$$w_i = \sqrt{q_B / p_B(\mathbf{y}_0)}$$

# Today: Contour based Tracking



# Topics of This Lecture

- **Deformable contours**
  - Motivation
  - Contour representation
- **Defining the energy function**
  - External energy
  - Internal energy
- **Energy minimization**
  - Greedy approach
  - Dynamic Programming approach
- **Extensions**
  - Tracking
  - Level Sets

# Deformable Contours

- **Given**
  - Initial contour (model) near desired object



M. Kass, A. Witkin, D. Terzopoulos. [Snakes: Active Contour Models](#), IJCV1988.

# Deformable Contours

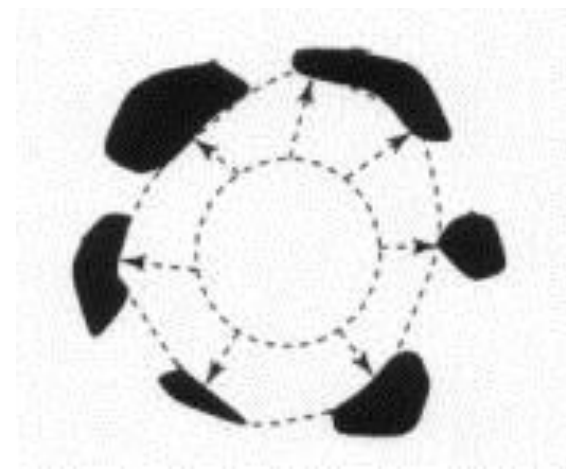
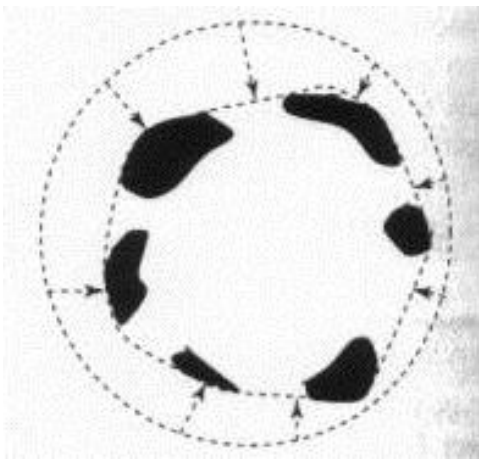
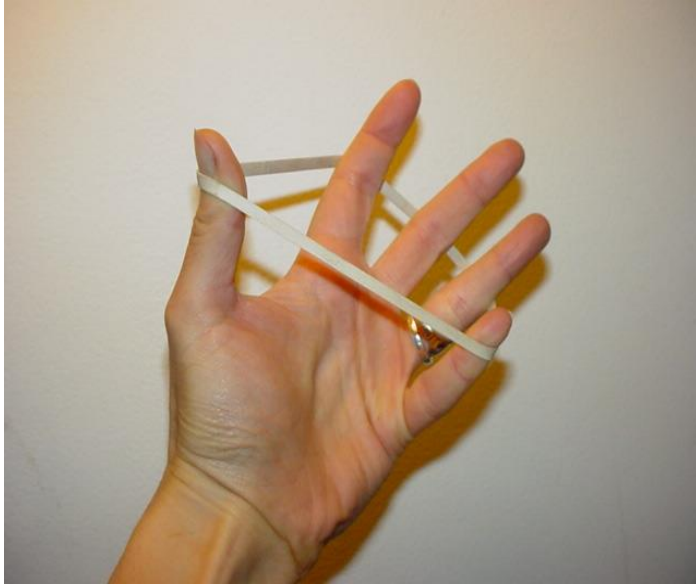
- **Given**
  - Initial contour (model) near desired object
- **Goal**
  - Evolve the contour to fit the exact object boundary
- **Main ideas**
  - Iteratively adjust the elastic band so as to be near image positions with high gradients, and
  - Satisfy shape “preferences” or contour priors
  - Formulation as energy minimization problem.



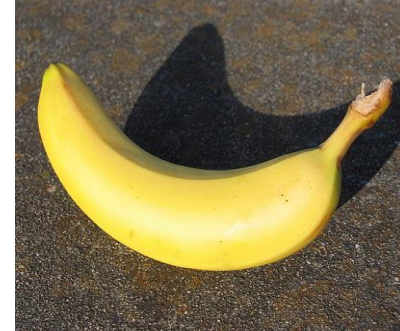
M. Kass, A. Witkin, D. Terzopoulos. [Snakes: Active Contour Models](#), IJCV1988.



# Deformable Contours: Intuition



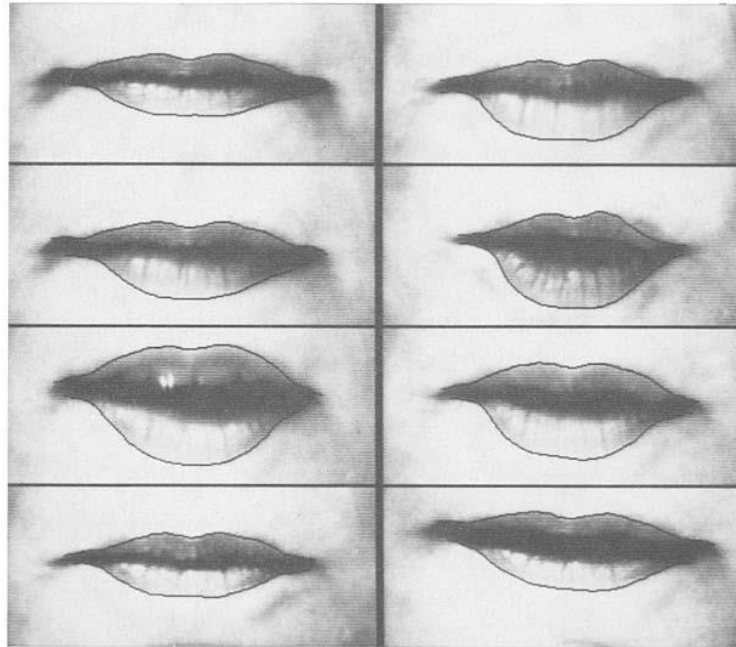
# Why Do We Want Deformable Shapes?



- **Motivations**

- Some objects have similar basic form, but some variety in contour shape.

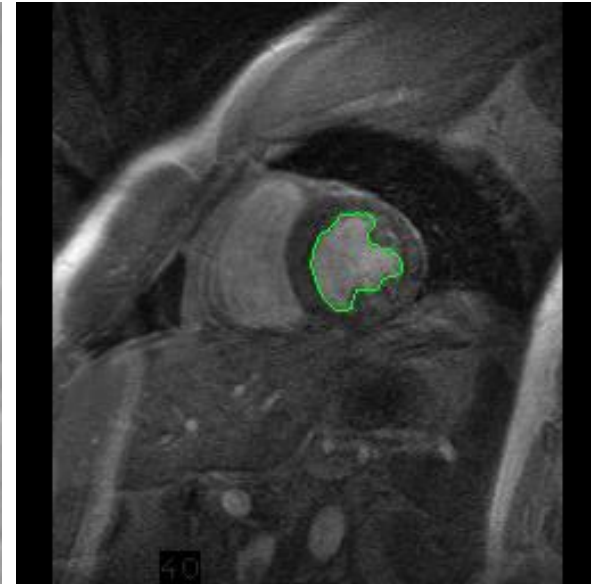
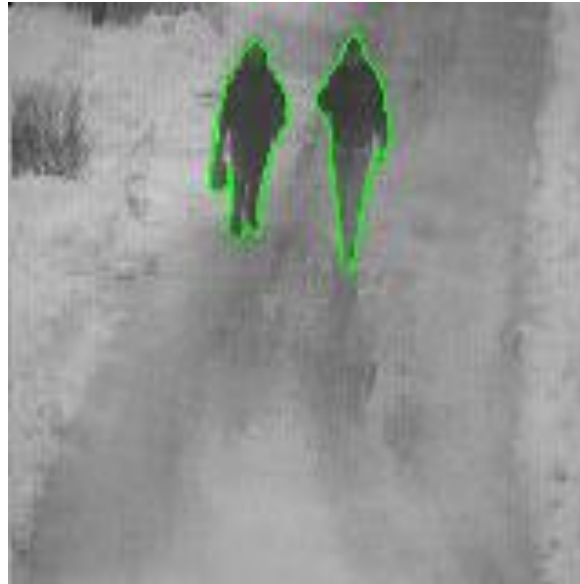
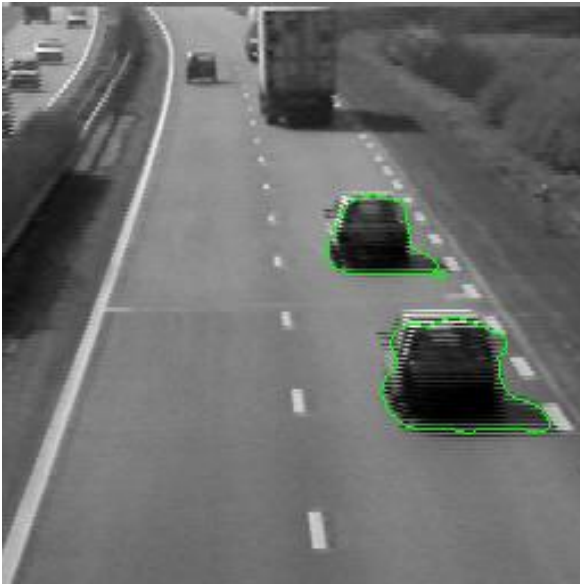
# Why Do We Want Deformable Shapes?



- **Motivations**

- Some objects have similar basic form, but some variety in contour shape.
- Non-rigid, deformable objects can change their shape over time, e.g. lips, hands...

# Why Do We Want Deformable Shapes?



- **Motivations**

- Some objects have similar basic form, but some variety in contour shape.
- Non-rigid, deformable objects can change their shape over time, e.g. lips, hands...
- Contour shape may be an important cue for tracking

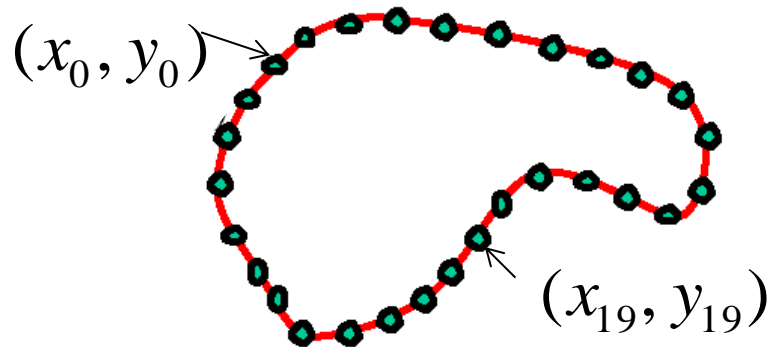
# Topics of This Lecture

- Deformable contours
  - Motivation
  - Contour representation
- **Defining the energy function**
  - **External energy**
  - **Internal energy**
- Energy minimization
  - Greedy approach
  - Dynamic Programming approach
- Extensions
  - Tracking
  - Level Sets

# Contour Representation

- Discrete representation

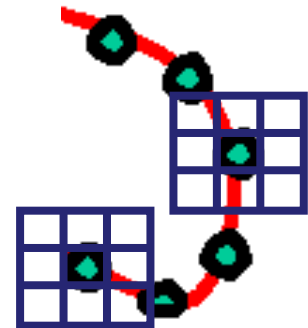
- We'll consider a discrete representation of the contour, consisting of a list of 2D point positions ("vertices").



$$V_i = (x_i, y_i),$$

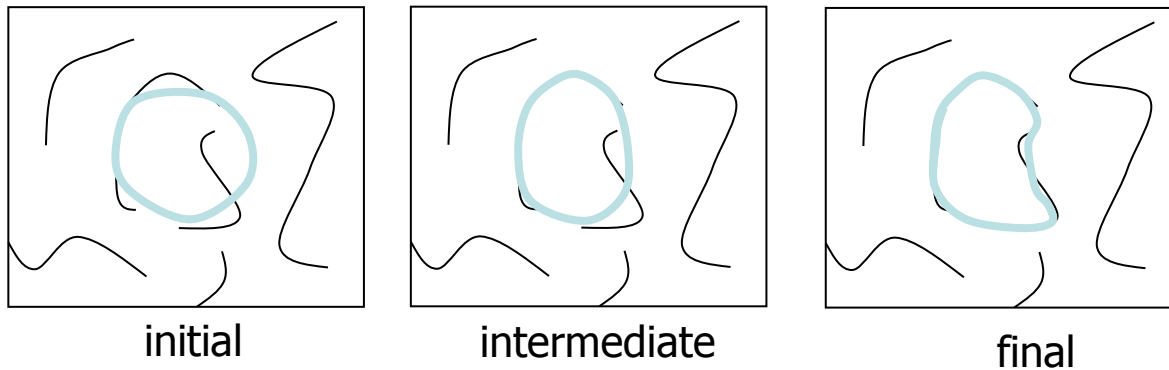
for  $i = 0, 1, \dots, n-1$

- At each iteration, we'll have the option to move each vertex to another nearby location ("state").



# Fitting Deformable Contours

- How to adjust the current contour to form the new contour at each iteration?
  - Define a cost function (“energy” function) that says how good a candidate configuration is.
  - Seek next configuration that minimizes that cost function.

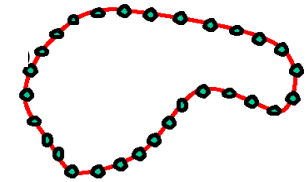


# Energy Function

- **Definition**

- Total energy (cost) of the current snake

$$E_{total} = E_{internal} + E_{external}$$



- **Internal energy**

- Encourage prior shape preferences: e.g., smoothness, elasticity, particular known shape.

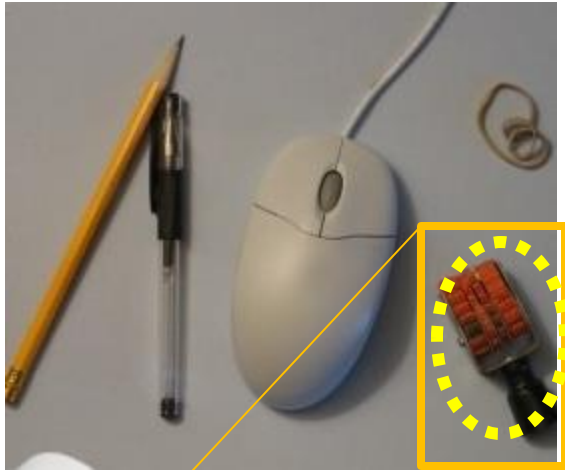
- **External energy**

- Encourage contour to fit on places where image structures exist, e.g., edges.

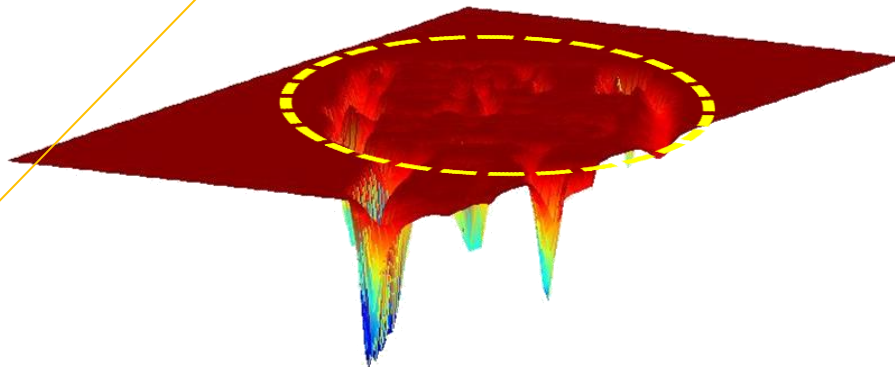
⇒ Good fit between current deformable contour and target shape in the image will yield a low value for this cost function.



# External Image Energy



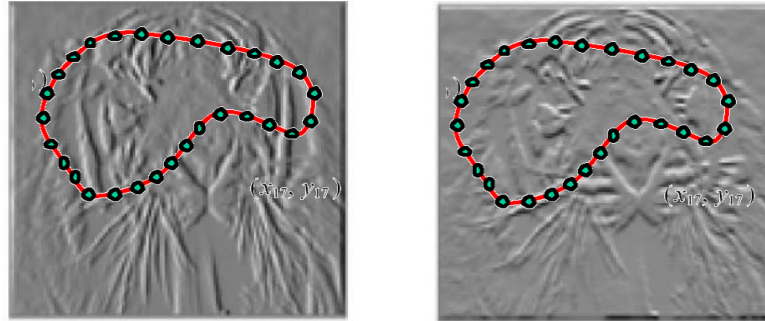
- How do edges affect snap of rubber band?
  - Think of external energy from image as gravitational pull towards areas of high contrast.



- (Magnitude of gradient)  
$$-\left(G_x(I)^2 + G_y(I)^2\right)$$

# External Image Energy

- Gradient images  $G_x(x, y)$  and  $G_y(x, y)$



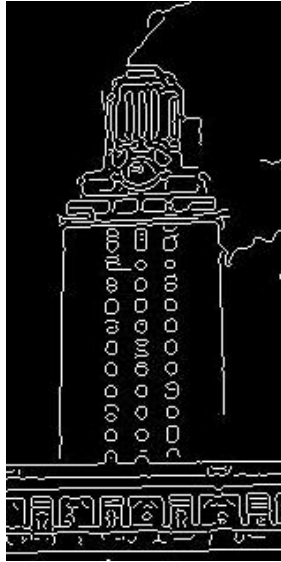
- External energy at a point on the curve is:

$$E_{external}(v) = -(|G_x(v)|^2 + |G_y(v)|^2)$$

- External energy for the whole curve:

$$E_{external} = - \sum_{i=0}^{n-1} |G_x(x_i, y_i)|^2 + |G_y(x_i, y_i)|^2$$

# Internal Energy: Intuition



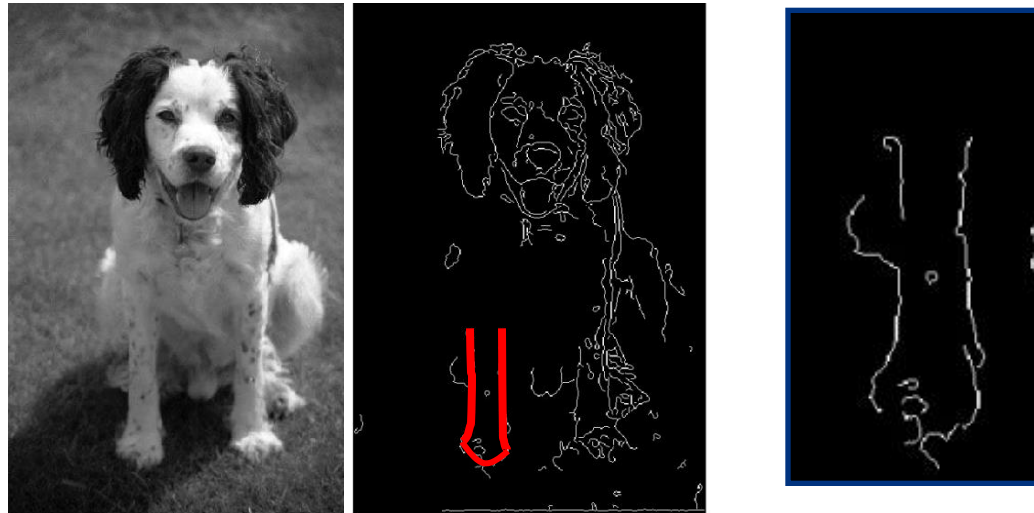
What are the underlying boundaries in this fragmented edge image?



And in this one?

# Internal Energy: Intuition

- *A priori*, we want to favor
  - Smooth shapes
  - Contours with low curvature
  - Contours similar to a known shape, etc. to balance what is actually observed (i.e., in the gradient image).



# Internal Energy

- Common formulatoin

- For a *continuous* curve, a common internal energy term is the “bending energy”.
- At some point  $v(s)$  on the curve, this is:

$$E_{internal}(v(s)) = \alpha \left| \frac{dv}{ds} \right|^2 + \beta \left| \frac{d^2v}{d^2s} \right|^2$$

Tension,  
Elasticity

Stiffness,  
Curvature



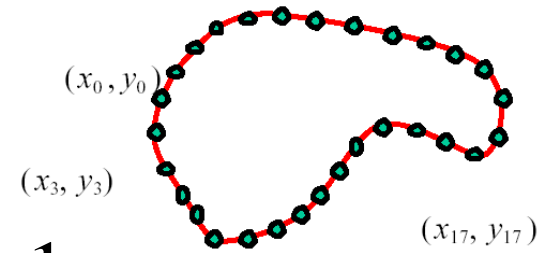
B. Leibe



# Internal Energy

- For our discrete representation,

$$v_i = (x_i, y_i) \quad i = 0 \dots n-1$$



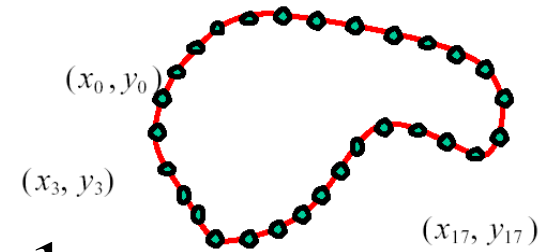
$$\frac{dv}{ds} \approx v_{i+1} - v_i \quad \frac{d^2v}{ds^2} \approx (v_{i+1} - v_i) - (v_i - v_{i-1}) = v_{i+1} - 2v_i + v_{i-1}$$

- *Note these are derivatives relative to position - not spatial image gradients.*

# Internal Energy

- For our discrete representation,

$$\mathbf{v}_i = (x_i, y_i) \quad i = 0 \dots n-1$$



$$\frac{d\mathbf{v}}{ds} \approx \mathbf{v}_{i+1} - \mathbf{v}_i \quad \frac{d^2\mathbf{v}}{ds^2} \approx (\mathbf{v}_{i+1} - \mathbf{v}_i) - (\mathbf{v}_i - \mathbf{v}_{i-1}) = \mathbf{v}_{i+1} - 2\mathbf{v}_i + \mathbf{v}_{i-1}$$

- Internal energy for the whole curve:

$$E_{internal} = \sum_{i=0}^{n-1} \alpha \|\mathbf{v}_{i+1} - \mathbf{v}_i\|^2 + \beta \|\mathbf{v}_{i+1} - 2\mathbf{v}_i + \mathbf{v}_{i-1}\|^2$$

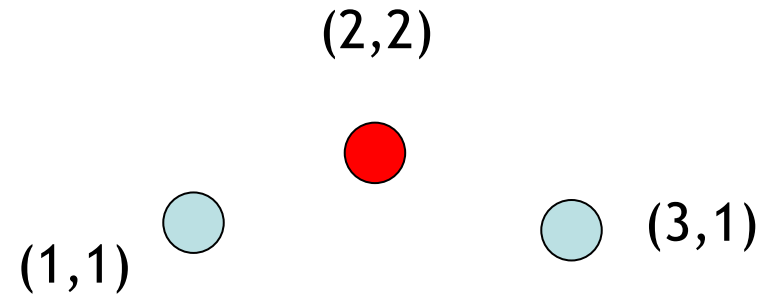
- *Why do these reflect tension and curvature?*

# Example: Compare Curvature

$$\begin{aligned} E_{\text{curvature}}(v_i) &= \|v_{i+1} - 2v_i + v_{i-1}\|^2 \\ &= (x_{i+1} - 2x_i + x_{i-1})^2 + (y_{i+1} - 2y_i + y_{i-1})^2 \end{aligned}$$



$$\begin{aligned} (3 - 2(2) + 1)^2 + (1 - 2(5) + 1)^2 \\ = (-8)^2 = 64 \end{aligned}$$



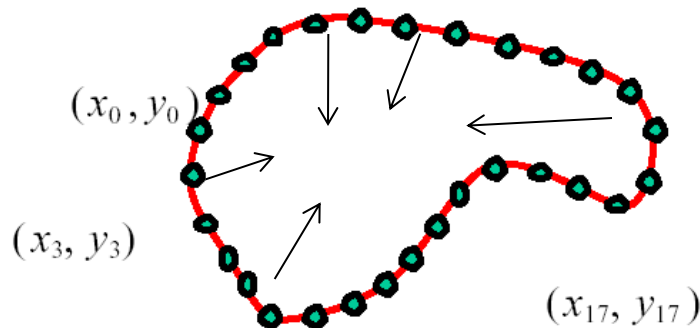
$$\begin{aligned} (3 - 2(2) + 1)^2 + (1 - 2(2) + 1)^2 \\ = (-2)^2 = 4 \end{aligned}$$



# Penalizing Elasticity

- Current elastic energy definition uses a discrete estimate of the derivative:

$$\begin{aligned} E_{elastic} &= \sum_{i=0}^{n-1} \alpha \left\| \mathbf{v}_{i+1} - \mathbf{v}_i \right\|^2 \\ &= \alpha \cdot \sum_{i=0}^{n-1} (x_{i+1} - x_i)^2 + (y_{i+1} - y_i)^2 \end{aligned}$$



*What is a possible problem with this definition?*

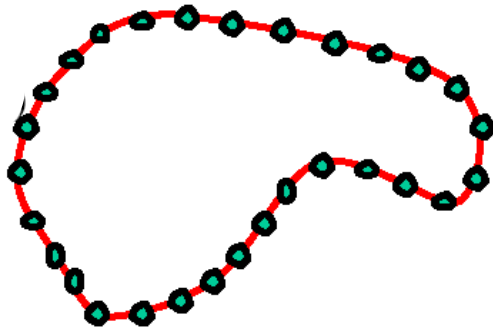
# Penalizing Elasticity

- Current elastic energy definition uses a discrete estimate of the derivative:

$$E_{elastic} = \sum_{i=0}^{n-1} \alpha \|v_{i+1} - v_i\|^2$$

- Instead:

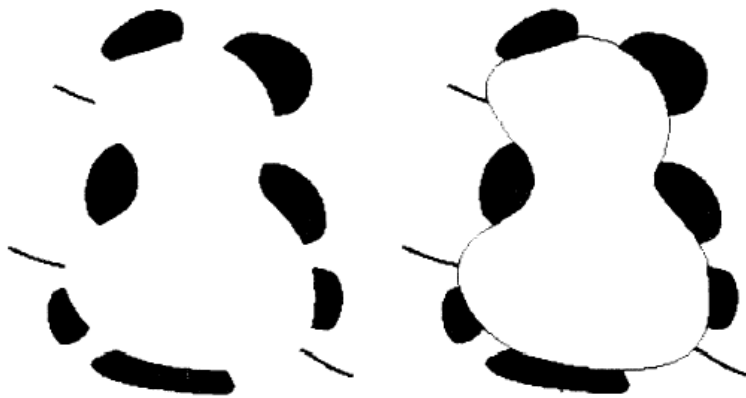
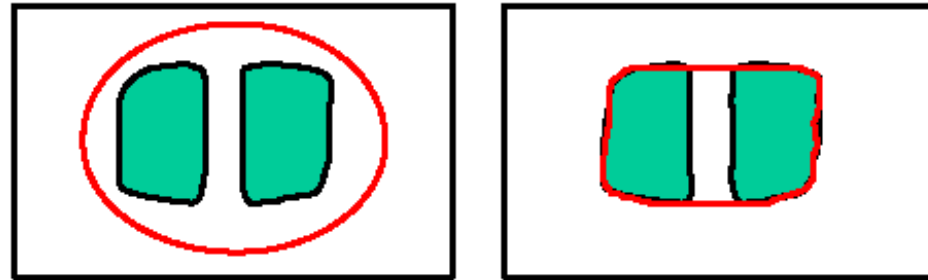
$$= \alpha \cdot \sum_{i=0}^{n-1} \left( (x_{i+1} - x_i)^2 + (y_{i+1} - y_i)^2 - \bar{d} \right)^2$$



where  $d$  is the average distance between pairs of points - updated at each iteration.

# Dealing with Missing Data

- Effect of Internal Energy
  - Preference for low-curvature, smoothness helps dealing with missing data



Illusory contours found!

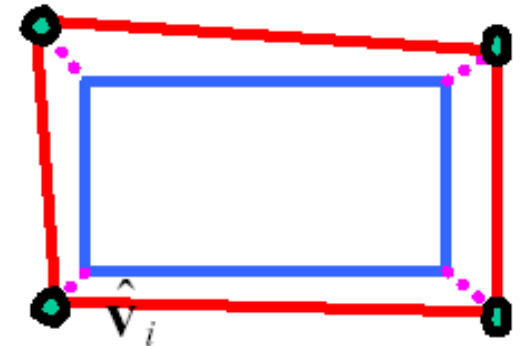
# Extending the Internal Energy: Shape Priors

- Shape priors

- If object is some smooth variation on a known shape, we can use a term that will penalize deviation from that shape:

$$E_{internal} + = \alpha \cdot \sum_{i=0}^{n-1} (v_i - \hat{v}_i)^2$$

where  $\{\hat{v}_i\}$  are the points of the known shape.



# Putting Everything Together...

- Total energy

$$E_{total} = E_{internal} + \gamma E_{external}$$

- with the component terms

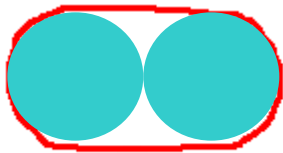
$$E_{external} = - \sum_{i=0}^{n-1} |G_x(x_i, y_i)|^2 + |G_y(x_i, y_i)|^2$$

$$E_{internal} = \sum_{i=0}^{n-1} \alpha (\bar{d} - \|v_{i+1} - v_i\|)^2 + \beta \|v_{i+1} - 2v_i + v_{i-1}\|^2$$

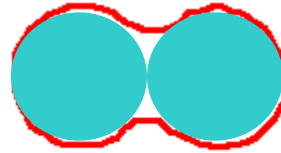
Behavior can be controlled by adapting the weights  $\alpha$ ,  $\beta$ ,  $\gamma$ .

# Total Energy

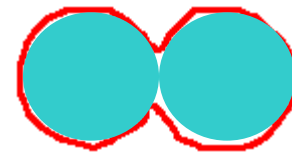
- Behavior varies as a function of the weights
  - E.g.,  $\alpha$  weight controls the penalty for internal elasticity.



large  $\alpha$



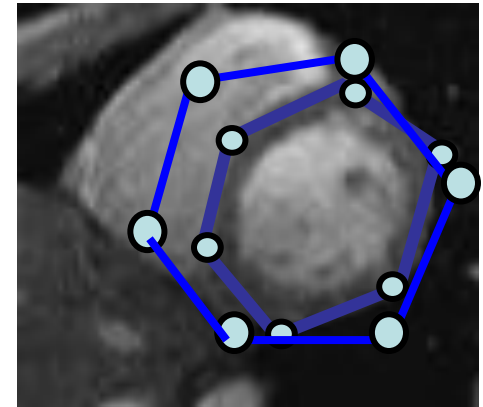
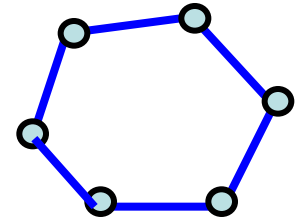
medium  $\alpha$



small  $\alpha$

# Summary: Deformable Contours

- A simple elastic snake is defined by:
  - A set of  $N$  points,
  - An **internal energy** term (tension, bending, plus optional shape prior)
  - An **external energy** term (gradient-based)
- To use to segment an object:
  - Initialize in the vicinity of the object
  - Modify the points to minimize the total energy
  - *How can we do this minimization?*



# Topics of This Lecture

- Deformable contours
  - Motivation
  - Contour representation
- Defining the energy function
  - External energy
  - Internal energy
- **Energy minimization**
  - Greedy approach
  - Dynamic Programming approach
- Extensions
  - Tracking
  - Level Sets

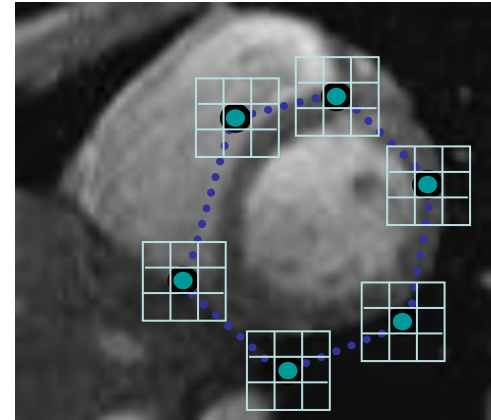


# Energy Minimization

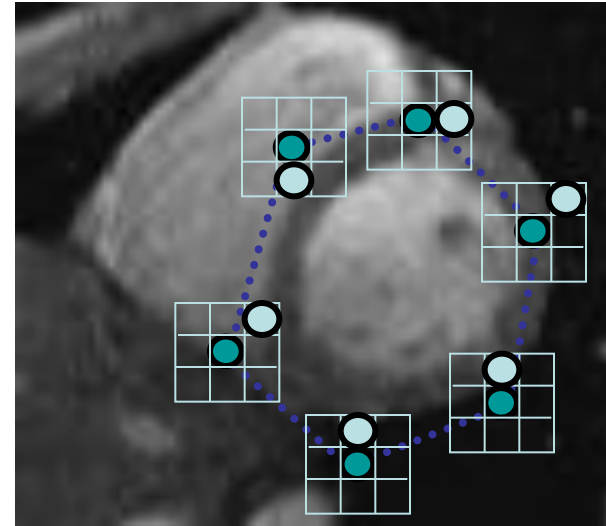
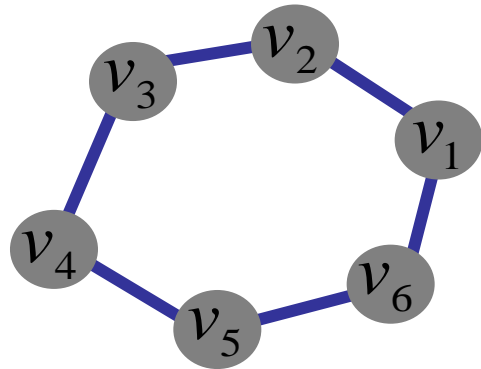
- Several algorithms have been proposed to fit deformable contours
  - Greedy search
  - Variational approaches
  - Dynamic programming (for 2D snakes)
  - ...
- We'll look at two of them in the following...

# Energy Minimization: Greedy

- Greedy optimization
  - For each point, search window around it and move to where energy function is minimal.
  - Typical window size, e.g.,  $5 \times 5$  pixels
- Stopping criterion
  - Stop when predefined number of points have not changed in last iteration, or after max number of iterations.
- Note:
  - Local optimization - need decent initialization!
  - Convergence not guaranteed



# Energy Minimization: Dynamic Programming



- **Constraining the search space**
    - Limit possible moves to neighboring pixels
    - With this form of the energy function, we can minimize using dynamic programming, with the Viterbi algorithm.
- ⇒ Optimal results in the local search space defined by the box.

A. Amini, T.E. Weymouth, R.C. Jain. [Using Dynamic Programming for Solving Variational Problems in Vision](#), PAMI, Vol. 12(9), 1990.

# Energy Minimization: Dynamic Programming

- Dynamic Programming optimization

- Possible because snake energy can be rewritten as a sum of pairwise interaction potentials:

$$E_{total}(v_1, \dots, v_n) = \sum_{i=1}^{n-1} E_i(v_i, v_{i+1})$$

- Or sum of triple interaction potentials

$$E_{total}(v_1, \dots, v_n) = \sum_{i=1}^{n-1} E_i(v_{i-1}, v_i, v_{i+1})$$

# Snake Energy: Pairwise Interactions

- **Total energy**

$$E_{total}(x_1, \dots, x_n, y_1, \dots, y_n) = - \sum_{i=1}^{n-1} |G_x(x_i, y_i)|^2 + |G_y(x_i, y_i)|^2 + \alpha \cdot \sum_{i=1}^{n-1} (x_{i+1} - x_i)^2 + (y_{i+1} - y_i)^2$$

- **Rewriting the above with  $v_i = (x_i, y_i)$ :**

$$E_{total}(v_1, \dots, v_n) = - \sum_{i=1}^{n-1} \|G(v_i)\|^2 + \alpha \cdot \sum_{i=1}^{n-1} \|v_{i+1} - v_i\|^2$$

- **Pairwise formulation**

$$E_{total}(v_1, \dots, v_n) = E_1(v_1, v_2) + E_2(v_2, v_3) + \dots + E_{n-1}(v_{n-1}, v_n)$$

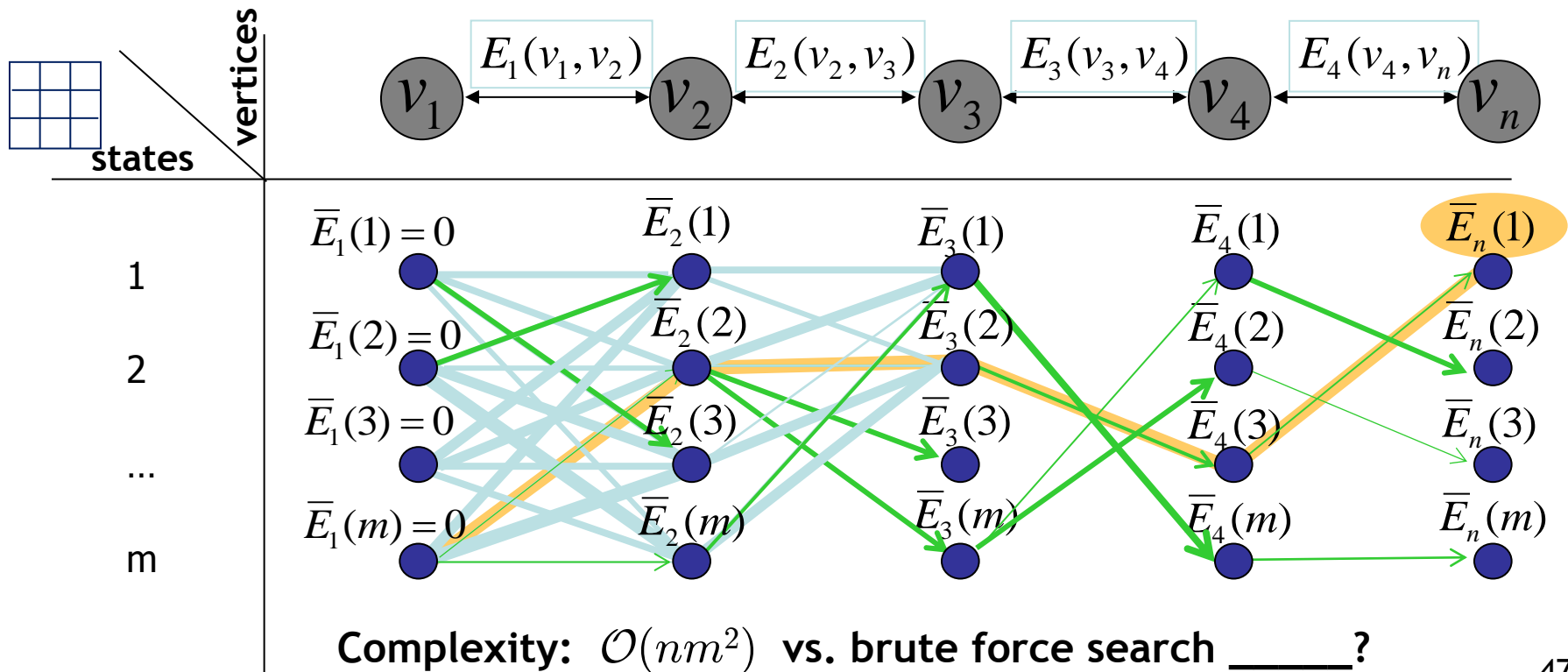
where  $E_i(v_i, v_{i+1}) = -\|G(v_i)\|^2 + \alpha \|v_{i+1} - v_i\|^2$

# Viterbi Algorithm

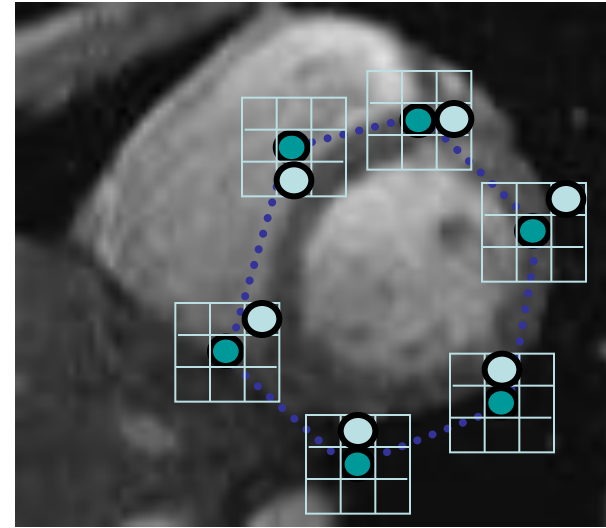
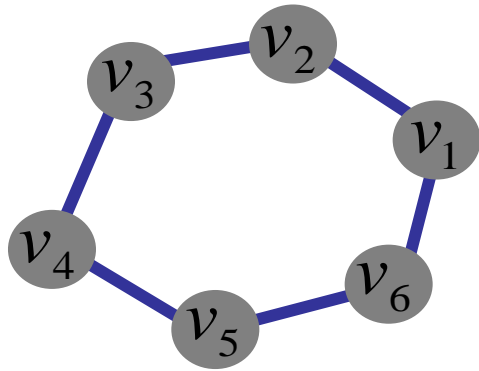
- Main idea:

- Determine optimal state of predecessor, for each possible state
- Then backtrack from best state for last vertex

$$E_{total} = E_1(v_1, v_2) + E_2(v_2, v_3) + \dots + E_{n-1}(v_{n-1}, v_n)$$



# Summary: Dynamic Programming



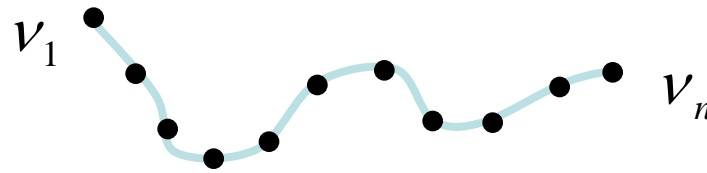
- **Dynamic Programming solution**
  - Limit possible moves to neighboring pixels (discrete states).
  - Find the best joint move of all points using Viterbi algorithm.
  - Iterate until optimal position for each point is the center of the box, *i.e.*, the snake is optimal in the local search space constrained by boxes.

# Energy Minimization: Dynamic Programming

- Limitations

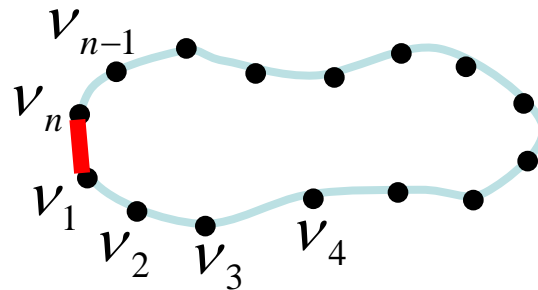
- DP can be applied to optimize an open-ended snake

$$E_1(v_1, v_2) + E_2(v_2, v_3) + \dots + E_{n-1}(v_{n-1}, v_n)$$



- For a closed snake, a loop is introduced into the energy

$$E_1(v_1, v_2) + E_2(v_2, v_3) + \dots + E_{n-1}(v_{n-1}, v_n) + E_n(v_n, v_1)$$



Workaround:

- 1) Fix  $v_1$  and solve for rest .
- 2) Fix an intermediate node at its position found in (1), solve for rest.



# Topics of This Lecture

- Deformable contours
  - Motivation
  - Contour representation
- Defining the energy function
  - External energy
  - Internal energy
- Energy minimization
  - Greedy approach
  - Dynamic Programming approach
- **Extensions**
  - **Tracking**
  - **Level Sets**

# Tracking via Deformable Contours

- Idea

1. Use final contour/model extracted at frame  $t$  as an initial solution for frame  $t+1$
2. Evolve initial contour to fit exact object boundary at frame  $t+1$
3. Repeat, initializing with most recent frame.



Tracking Heart Ventricles  
(multiple frames)

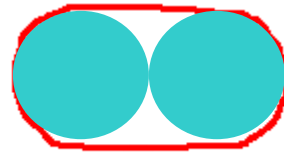
# Tracking via Deformable Contours



- **Many applications**
  - **Traffic monitoring, surveillance**
  - **Human-computer interaction**
  - **Animation**
  - **Computer assisted diagnosis in medical imaging**
  - ...

# Limitations

- **Limitations of Dynamic Contours**
  - May over-smooth the boundary



- Cannot follow topological changes of objects



# Limitations

- External energy

- Snake does not really “see” object boundaries in the image unless it gets very close to them.

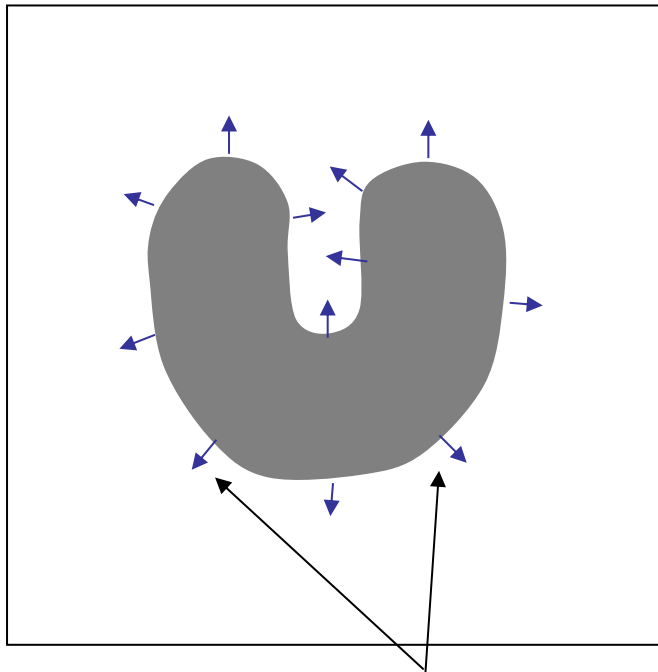
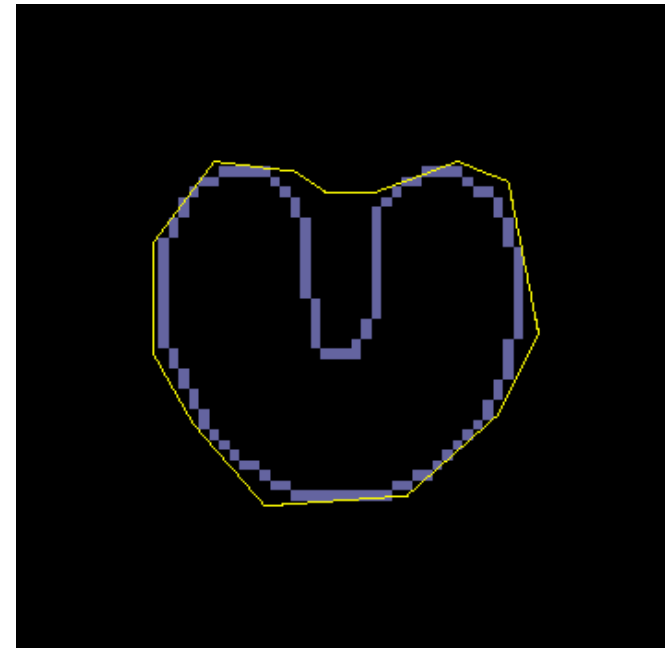


image gradients  $\nabla I$  are large  
only directly on the boundary



# Workaround: Distance Transform

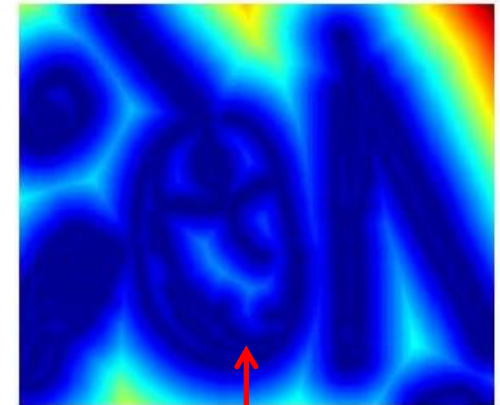
- External energy can instead be taken from the **distance transform** of the edge image.



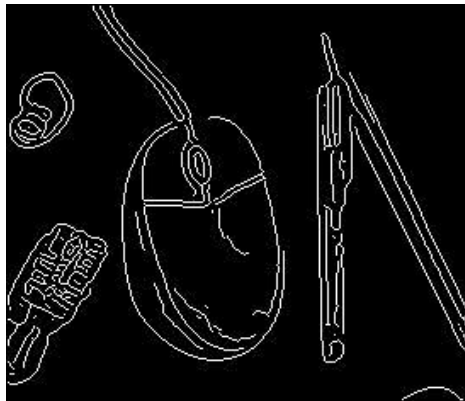
Original



Gradient



Distance transform



Edges

Value at  $(x,y)$  tells how far that position is from the nearest edge point (or other binary image structure)

`>> help bwdist`

# Discussion

- **Pros:**

- Useful to track and fit non-rigid shapes
- Contour remains connected
- Possible to fill in “subjective” contours
- Flexibility in how energy function is defined, weighted.

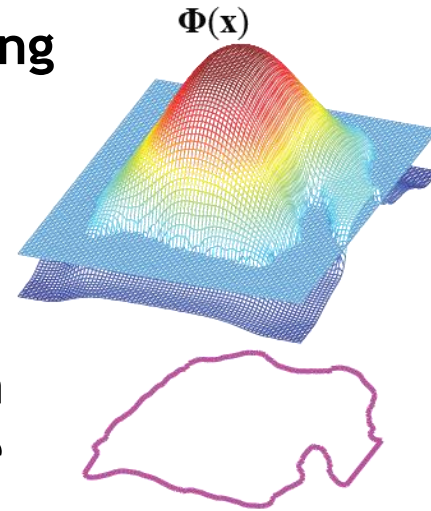
- **Cons:**

- Must have decent initialization near true boundary, may get stuck in local minimum.
- Parameters of energy function must be set well based on prior information
- Discrete optimization
- Unable to handle topological changes

# Extension: Level Sets

- **Main idea**

- Instead of explicitly representing the contour to track, model it implicitly as the zero-level set of a continuous embedding function  $\Phi(\mathbf{x})$ .
- Evolve the embedding function in order to better fit the image content.
- Leads to variational approaches.



- **Advantages**

- Continuous optimization, easier to handle
- Can naturally cope with topological changes
- Not restricted to contour information, can also incorporate region information (color, texture, motion, disparity, etc.)



# Region-based Level Set Tracking

- Using a color model to separate fg and bg regions



C. Bibby, I. Reid, [Robust Real-Time Visual Tracking using Pixel-Wise Posteriors](#), *ECCV'08*.

# Summary

- Deformable shapes and active contours are useful for
  - Segmentation: fit or “snap” to boundary in image
  - Tracking: previous frame’s estimate serves to initialize the next
- Fitting active contours:
  - Define terms to encourage certain shapes, smoothness, low curvature, push/pulls, ...
  - Use weights to control relative influence of each component cost
  - Can optimize 2d snakes with Viterbi algorithm.
- Image structure (esp. gradients) can act as attraction force for *interactive* segmentation methods.

# References and Further Reading

- The original Snakes paper
  - M. Kass, A. Witkin, D. Terzopoulos. Snakes: Active Contour Models, IJCV1988.
- The Dynamic Programming extension
  - A. Amini, T.E. Weymouth, R.C. Jain. Using Dynamic Programming for Solving Variational Problems in Vision, PAMI, Vol. 12(9), 1990.