

Machine Learning Winter '17

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# Machine Learning – Lecture 16

## Convolutional Neural Networks II

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### Course Outline

- Fundamentals
  - Bayes Decision Theory
  - Probability Density Estimation
- Classification Approaches
  - Linear Discriminants
  - Support Vector Machines
  - Ensemble Methods & Boosting
  - Random Forests
- Deep Learning
  - Foundations
  - Convolutional Neural Networks
  - Recurrent Neural Networks

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### Topics of This Lecture

- Recap: CNNs
- CNN Architectures
  - LeNet
  - AlexNet
  - VGGNet
  - GoogLeNet
  - ResNets
- Visualizing CNNs
  - Visualizing CNN features
  - Visualizing responses
  - Visualizing learned structures
- Applications

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### Recap: Convolutional Neural Networks

INPUT 32x32 → C1: f. maps 6@28x28 → S2: f. maps 6@14x14 → C3: f. maps 16@10x10 → S4: f. maps 16@5x5 → C5: layer 120 → FB: layer 64 → OUTPUT 10

- Neural network with specialized connectivity structure
  - Stack multiple stages of feature extractors
  - Higher stages compute more global, more invariant features
  - Classification layer at the end

Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, [Gradient-based learning applied to document recognition](#), Proceedings of the IEEE 86(11): 2278–2324, 1998.

Slide credit: Svetlana Lazebnik      B. Leibe

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### Recap: Intuition of CNNs

- Convolutional net
  - Share the same parameters across different locations
  - Convolutions with learned kernels
- Learn multiple filters
  - E.g. 1000×1000 image
  - 100 filters
  - 10×10 filter size
  - ⇒ only 10k parameters
- Result: Response map
  - size: 1000×1000×100
  - Only memory, not params!

Slide adapted from Marc'Aurelio Ranzato

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Image source: Yann LeCun

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### Important Conceptual Shift

- Before

input layer      hidden layer      output layer

- Now:

Slide credit: FeiFei Li, Andrei Karpathy

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**Convolution Layers**

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Example  
image:  $32 \times 32 \times 3$  volume

**Before:** Full connectivity  
 $32 \times 32 \times 3$  weights

**Now:** Local connectivity  
One neuron connects to, e.g.,  
 $5 \times 5 \times 3$  region.  
⇒ Only  $5 \times 5 \times 3$  shared weights.

- Note: Connectivity is
  - Local in space ( $5 \times 5$  inside  $32 \times 32$ )
  - But full in depth (all 3 depth channels)

Slide adapted from FeiFei Li, Andrei Karpathy B. Leibe 10

**Convolution Layers**

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32  
3

**before:** "hidden layer of 200 neurons"  
**now:** "output volume of depth 200"

- All Neural Net activations arranged in 3 dimensions
  - Multiple neurons all looking at the same input region, stacked in depth

Slide adapted from FeiFei Li, Andrei Karpathy B. Leibe 11

**Convolution Layers**

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3

Naming convention:  
DEPTH  
WIDTH  
HEIGHT

- All Neural Net activations arranged in 3 dimensions
  - Multiple neurons all looking at the same input region, stacked in depth
  - Form a single  $[1 \times 1 \times \text{depth}]$  depth column in output volume.

Slide credit: FeiFei Li, Andrei Karpathy B. Leibe 12

**Convolution Layers**

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Example:  
 $7 \times 7$  input  
assume  $3 \times 3$  connectivity  
stride 1

- Replicate this column of hidden neurons across space, with some **stride**.

Slide credit: FeiFei Li, Andrei Karpathy B. Leibe 14

**Convolution Layers**

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Example:  
 $7 \times 7$  input  
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Slide credit: FeiFei Li, Andrei Karpathy B. Leibe 15

**Convolution Layers**

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Example:  
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Slide credit: FeiFei Li, Andrei Karpathy B. Leibe 16

**Convolution Layers**

Example:  
7×7 input  
assume 3×3 connectivity  
stride 1

- Replicate this column of hidden neurons across space, with some **stride**.

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Slide credit: FeiFei Li, Andrei Karpathy

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**Convolution Layers**

Example:  
7×7 input  
assume 3×3 connectivity  
stride 1  
⇒ 5×5 output

- Replicate this column of hidden neurons across space, with some **stride**.

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Slide credit: FeiFei Li, Andrei Karpathy

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**Convolution Layers**

Example:  
7×7 input  
assume 3×3 connectivity  
stride 1  
⇒ 5×5 output

What about stride 2?

- Replicate this column of hidden neurons across space, with some **stride**.

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Slide credit: FeiFei Li, Andrei Karpathy

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**Convolution Layers**

Example:  
7×7 input  
assume 3×3 connectivity  
stride 1  
⇒ 5×5 output

What about stride 2?

- Replicate this column of hidden neurons across space, with some **stride**.

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Slide credit: FeiFei Li, Andrei Karpathy

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**Convolution Layers**

Example:  
7×7 input  
assume 3×3 connectivity  
stride 1  
⇒ 5×5 output

What about stride 2?  
⇒ 3×3 output

- Replicate this column of hidden neurons across space, with some **stride**.

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Slide credit: FeiFei Li, Andrei Karpathy

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**Convolution Layers**

Example:  
7×7 input  
assume 3×3 connectivity  
stride 1  
⇒ 5×5 output

What about stride 2?  
⇒ 3×3 output

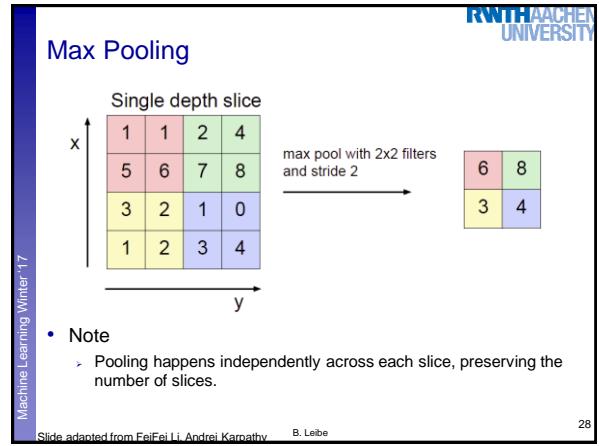
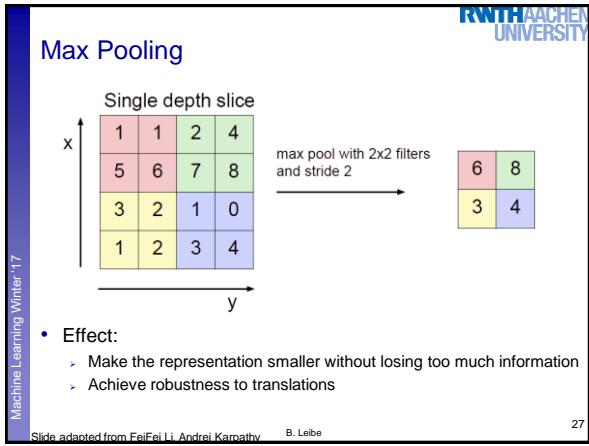
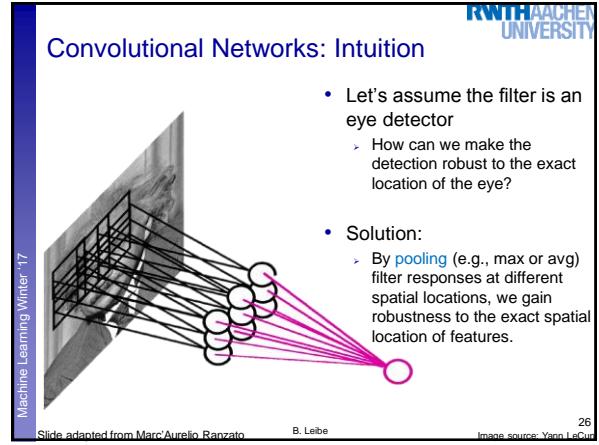
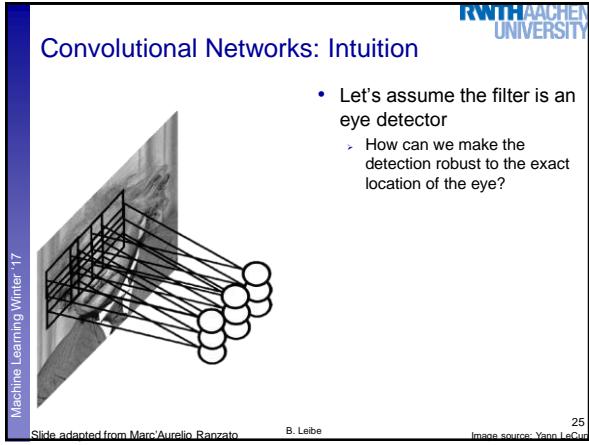
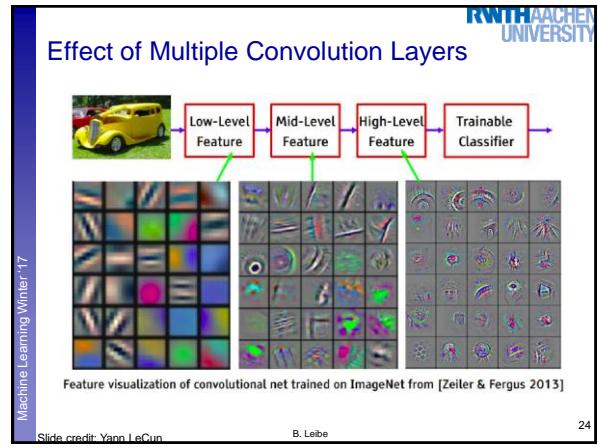
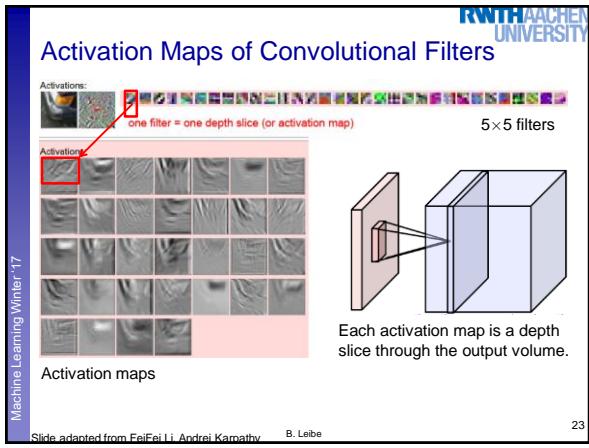
- Replicate this column of hidden neurons across space, with some **stride**.
- In practice, common to zero-pad the border.
  - Preserves the size of the input spatially.

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Slide credit: FeiFei Li, Andrei Karpathy

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**CNNs: Implication for Back-Propagation**

- Convolutional layers
  - Filter weights are shared between locations  
⇒ Gradients are added for each filter location.

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**Topics of This Lecture**

- Recap: CNNs
- CNN Architectures**
  - LeNet
  - AlexNet
  - VGGNet
  - GoogLeNet
- Visualizing CNNs
  - Visualizing CNN features
  - Visualizing responses
  - Visualizing learned structures
- Applications

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**CNN Architectures: LeNet (1998)**

The diagram illustrates the LeNet architecture. It starts with an input image of size 32x32. This is processed by two convolutional layers, each followed by a subsampling layer. The output of the second subsampling layer has feature maps of size 5x5. These are then processed by two more convolutional layers, each followed by another subsampling layer. The final output is a 10-class classification layer. The architecture is labeled with various components: INPUT (32x32), C1: f. maps 6@28x28, S2: f. maps 6@14x14, C3: f. maps 16@10x10, S4: f. maps 16@5x5, C5: layer 120, F6: layer 84, OUTPUT 10, and Gaussian connections.

- Early convolutional architecture
  - 2 Convolutional layers, 2 pooling layers
  - Fully-connected NN layers for classification
  - Successfully used for handwritten digit recognition (MNIST)

Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, [Gradient-based learning applied to document recognition](#), Proceedings of the IEEE 86(11): 2278–2324, 1998.

Slide credit: Svetlana Lazebnik B. Leibe 31

**ImageNet Challenge 2012**

The ImageNet Challenge 2012 involved classifying approximately 14 million labeled internet images into 20k classes. Human labels were provided via Amazon Mechanical Turk. The challenge included a large-scale image classification task (ILSVRC) with 1.2 million training images and 1000 classes. The goal was to predict ground-truth class within top-5 responses. AlexNet achieved a top-5 error rate of 16.4%, which was a significant improvement over previous methods.

- ImageNet
  - ~14M labeled internet images
  - 20k classes
  - Human labels via Amazon Mechanical Turk
- Challenge (ILSVRC)
  - 1.2 million training images
  - 1000 classes
  - Goal: Predict ground-truth class within top-5 responses
  - Currently one of the top benchmarks in Computer Vision

[Deng et al., CVPR'09]

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**CNN Architectures: AlexNet (2012)**

The AlexNet architecture is a deeper version of LeNet, consisting of seven layers. It includes five convolutional layers and two fully connected layers. The diagram shows the flow of data through the network, including max pooling and dense layers. The input image size is 224x224x3. The final output is a 1000-class classification layer.

- Similar framework as LeNet, but
  - Bigger model (7 hidden layers, 650k units, 60M parameters)
  - More data ( $10^6$  images instead of  $10^3$ )
  - GPU implementation
  - Better regularization and up-to-date tricks for training (Dropout)

A. Krizhevsky, I. Sutskever, and G. Hinton, [ImageNet Classification with Deep Convolutional Neural Networks](#), NIPS 2012.

Image source: A. Krizhevsky, I. Sutskever and G.E. Hinton, NIPS 2012. B. Leibe 33

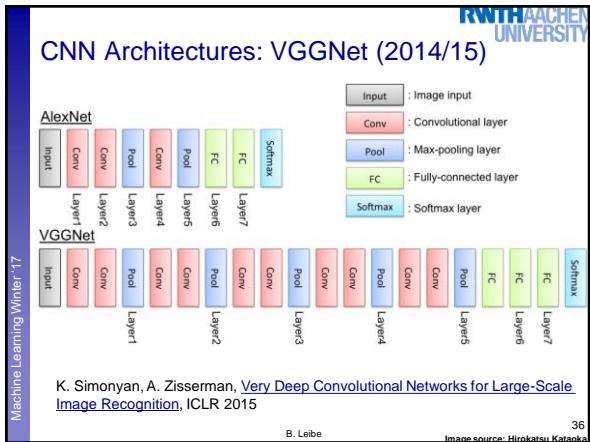
**ILSVRC 2012 Results**

A bar chart comparing the Top-5 error rates for five teams in the ILSVRC 2012 competition. The y-axis represents the error rate percentage, ranging from 0 to 35. The x-axis lists the teams: SuperVision, ISI, Oxford, INRIA, and Amsterdam. The chart shows that AlexNet (SuperVision) achieved the lowest error rate of approximately 16.4%, while the next best approach had an error rate of about 26.2%.

Team	Top-5 error rate %
SuperVision	~16.4
ISI	~26.2
Oxford	~26.2
INRIA	~26.2
Amsterdam	~29.0

- AlexNet almost halved the error rate
  - 16.4% error (top-5) vs. 26.2% for the next best approach
  - ⇒ A revolution in Computer Vision
  - Acquired by Google in Jan '13, deployed in Google+ in May '13

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**CNN Architectures: VGGNet (2014/15)**

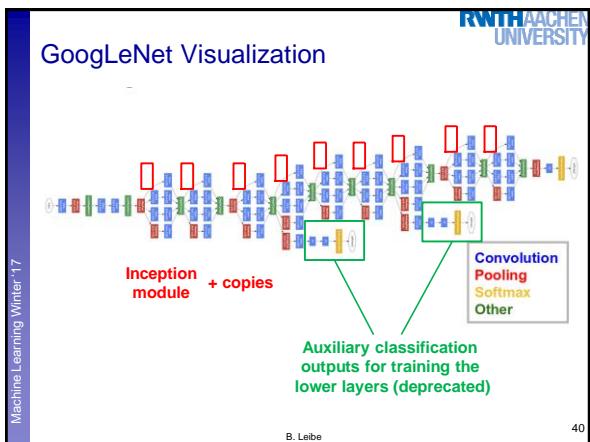
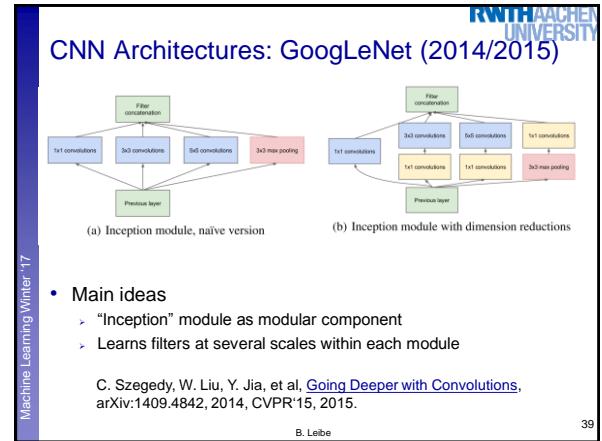
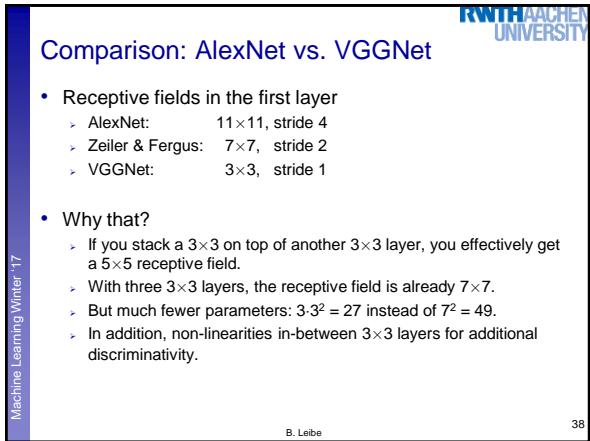
CNN Net Configuration				
A	B	C	D	E
11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
input ( $224 \times 224$ RGB image)				
conv3-64	conv3-64	conv3-64	conv3-64	conv3-64
LRN				
conv3-64	conv3-64	conv3-64	conv3-64	conv3-64
maxpool				
conv3-128	conv3-128	conv3-128	conv3-128	conv3-128
maxpool				
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256
maxpool				
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
maxpool				
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
maxpool				
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
FC-4096				
FC-4096				
FC-1000				
softmax				

Mainly used

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**Results on ILSVRC**

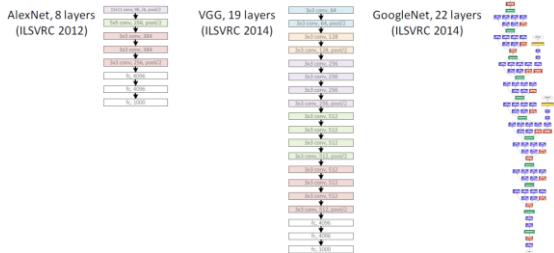
Method	top-1 val. error (%)	top-5 val. error (%)	top-5 test error (%)
VGG (2 nets, multi-crop & dense eval.)	23.7	6.8	6.8
VGG (1 net, multi-crop & dense eval.)	24.4	7.1	7.0
VGG (ILSVRC submission, 7 nets, dense eval.)	24.7	7.5	7.3
GoogLeNet (Szegedy et al., 2014) (1 net)	-	-	7.9
GoogLeNet (Szegedy et al., 2014) (7 nets)	-	-	6.7
MSRA (He et al., 2014) (11 nets)	-	-	8.1
MSRA (He et al., 2014) (1 net)	27.9	9.1	9.1
Clarifai (Russakovsky et al., 2014) (multiple nets)	-	-	11.7
Clarifai (Russakovsky et al., 2014) (1 net)	-	-	12.5
Zeiler & Fergus (Zeiler & Fergus, 2013) (6 nets)	36.0	14.7	14.8
Zeiler & Fergus (Zeiler & Fergus, 2013) (1 net)	37.5	16.0	16.1
OverFeat (Sermanet et al., 2014) (7 nets)	34.0	13.2	13.6
OverFeat (Sermanet et al., 2014) (1 net)	35.7	14.2	-
Krizhevsky et al. (Krizhevsky et al., 2012) (5 nets)	38.1	16.4	16.4
Krizhevsky et al. (Krizhevsky et al., 2012) (1 net)	40.7	18.2	-

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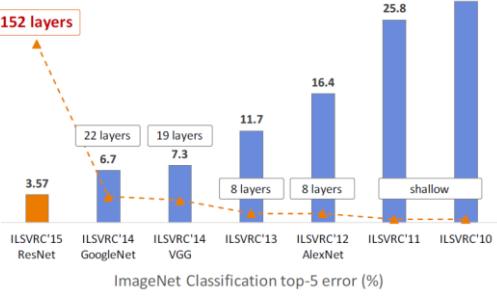
## Newer Developments: Residual Networks



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## ImageNet Performance



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## Newer Developments: Residual Networks



- Core component

- Skip connections bypassing each layer
  - Better propagation of gradients to the deeper layers
  - We'll analyze this mechanism in more detail later...

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## Understanding the ILSVRC Challenge

- Imagine the scope of the problem!

- 1000 categories
  - 1.2M training images
  - 50k validation images



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## More Finegrained Classes



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**Quirks and Limitations of the Data Set**

- Generated from WordNet ontology
  - Some animal categories are overrepresented
  - E.g., 120 subcategories of dog breeds

⇒ 6.7% top-5 error looks all the more impressive

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B. Leibe Image source: A. Karpathy

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**Visualizing CNNs**

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DeconvNet ConvNet

Image source: M. Zeiler, R. Fergus

**Visualizing CNNs**

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M. Zeiler, R. Fergus, [Visualizing and Understanding Convolutional Neural Networks](#), ECCV 2014.

Slide credit: Richard Turner

B. Leibe Image source: M. Zeiler, R. Fergus

**Visualizing CNNs**

Layer 3

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B. Leibe Image source: M. Zeiler, R. Fergus

**Visualizing CNNs**

Layer 4 Layer 5

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B. Leibe Image source: M. Zeiler, R. Fergus

**What Does the Network React To?**

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- Occlusion Experiment
  - Mask part of the image with an occluding square.
  - Monitor the output

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Input image

True Label: Pomeranian

p(True class)

Most probable class

True Label: Pomeranian

Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

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**What Does the Network React To?**

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Input image

True Label: Pomeranian

Total activation in most active 5<sup>th</sup> layer feature map

Other activations from the same feature map.

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Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

**What Does the Network React To?**

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Input image

True Label: Pomeranian

p(True class)

Most probable class

Pomeranian Tennis ball Keeshond Pekinese

True Label: Pomeranian

Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

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**What Does the Network React To?**

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Input image

True Label: Car Wheel

Total activation in most active 5<sup>th</sup> layer feature map

Other activations from the same feature map.

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Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

**What Does the Network React To?**

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Input image

True Label: Afghan Hound

p(True class)

Most probable class

Afghan hound Gordon setter Irish setter Bloodhound Fox coat Academic gowns English terrier Ice lolly Vizsla Neck brace

True Label: Afghan Hound

Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

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## What Does the Network React To?

Input image

Total activation in most active 5<sup>th</sup> layer feature map

Other activations from the same feature map.

Image source: M. Zeiler, R. Fergus

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Slide credit: Svetlana Lazebnik, Rob Fergus

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## Inceptionism: Dreaming ConvNets

optimize with prior

- Idea
  - Start with a random noise image.
  - Enhance the input image such as to enforce a particular response (e.g., banana).
  - Combine with prior constraint that image should have similar statistics as natural images.

⇒ Network hallucinates characteristics of the learned class.

<http://googleresearch.blogspot.de/2015/06/inceptionism-going-deeper-into-neural.html>

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## Inceptionism: Dreaming ConvNets

- Results

<http://googleresearch.blogspot.de/2015/07/deepdream-code-example-for-visualizing.html>

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## Inceptionism: Dreaming ConvNets

<https://www.youtube.com/watch?v=lREsx-xWQ0g>

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## Topics of This Lecture

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## The Learned Features are Generic

Training Images per-class	Our Model Accuracy (%)	Bo et al Accuracy (%)	Sohn et al Accuracy (%)
0	~25	~25	~25
10	~62	~40	~35
20	~68	~45	~38
30	~70	~48	~40
40	~72	~52	~43
50	~74	~55	~46
60	~75	~56	~48

state of the art level (pre-CNN)

- Experiment: feature transfer
  - Train network on ImageNet
  - Chop off last layer and train classification layer on CalTech256

⇒ State of the art accuracy already with only 6 training images

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Image source: M. Zeiler, R. Fergus

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**Other Tasks: Detection**

**R-CNN: Regions with CNN features**

1. Input image
2. Extract region proposals (~2k)
3. Compute CNN features
4. Classify regions

- Results on PASCAL VOC Detection benchmark
  - Pre-CNN state of the art: 35.1% mAP [Uijlings et al., 2013]
  - 33.4% mAP DPM
  - R-CNN: 53.7% mAP

R. Girshick, J. Donahue, T. Darrell, and J. Malik, [Rich Feature Hierarchies for Accurate Object Detection and Semantic Segmentation](#), CVPR 2014

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**Most Recent Version: Faster R-CNN**

- One network, four losses
  - Remove dependence on external region proposal algorithm.
  - Instead, infer region proposals from same CNN.
  - Feature sharing
  - Joint training
  - ⇒ Object detection in a single pass becomes possible.
  - ⇒ mAP improved to >70%

Classification loss  
Bounding-box regression loss  
Proposals  
Region Proposal Network  
Feature map  
CNN  
Image  
RoI pooling

Slide credit: Ross Girshick

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**Faster R-CNN (based on ResNets)**

K. He, X. Zhang, S. Ren, J. Sun, [Deep Residual Learning for Image Recognition](#), CVPR 2016.

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**Faster R-CNN (based on ResNets)**

K. He, X. Zhang, S. Ren, J. Sun, [Deep Residual Learning for Image Recognition](#), CVPR 2016.

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**YOLO**

J. Redmon, S. Divvala, R. Girshick, A. Farhadi, [You Only Look Once: Unified, Real-Time Object Detection](#), CVPR 2016.

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**Semantic Image Segmentation**

forward/inference  
backward/learning  
pixelwise prediction  
segmentation g.t.

- Perform pixel-wise prediction task
  - Usually done using **Fully Convolutional Networks (FCNs)**
    - All operations formulated as convolutions
    - Advantage: can process arbitrarily sized images

Image source: Long, Shelhamer, Darrell

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## Semantic Image Segmentation

- Encoder-Decoder Architecture
  - Problem: FCN output has low resolution
  - Solution: perform upsampling to get back to desired resolution
  - Use skip connections to preserve higher-resolution information

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Image source: Newell et al.

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## Semantic Segmentation

[Pohlen, Hermans, Mathias, Leibe, CVPR 2017]

- More recent results
  - Based on an extension of ResNets

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## Other Tasks: Face Verification

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Y. Taigman, M. Yang, M. Ranzato, L. Wolf, [DeepFace: Closing the Gap to Human-Level Performance in Face Verification](#), CVPR 2014  
Slide credit: Svetlana Lazebnik

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## Commercial Recognition Services

- E.g., [clarifai](#)

Try it out with your own media

Upload an image or video file under 100mb or give us a direct link to a file on the web.

Paste a url here...      ENGLISH ▾  
USE THE URL      CHOOSE A FILE INSTEAD

\*By using the demo you agree to our terms of service

- Be careful when taking test images from Google Search
  - Chances are they may have been seen in the training set...

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Image source: clarifai.com

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## Commercial Recognition Services

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Image source: clarifai.com

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## References and Further Reading

- LeNet
  - [Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, Gradient-based learning applied to document recognition](#), Proceedings of the IEEE 86(11): 2278–2324, 1998.
- AlexNet
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