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# Machine Learning – Lecture 14

## Convolutional Neural Networks II

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## Course Outline

- Fundamentals
  - Bayes Decision Theory
  - Probability Density Estimation
- Classification Approaches
  - Linear Discriminants
  - Support Vector Machines
  - Ensemble Methods & Boosting
  - Random Forests
- Deep Learning
  - Foundations
  - Convolutional Neural Networks
  - Recurrent Neural Networks

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## Topics of This Lecture

- Recap: CNNs
- CNN Architectures
  - LeNet
  - AlexNet
  - VGGNet
  - GoogLeNet
  - ResNets
- Visualizing CNNs
  - Visualizing CNN features
  - Visualizing responses
  - Visualizing learned structures
- Applications

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## Recap: Convolutional Neural Networks

- Neural network with specialized connectivity structure
  - Stack multiple stages of feature extractors
  - Higher stages compute more global, more invariant features
  - Classification layer at the end

Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, [Gradient-based learning applied to document recognition](#), Proceedings of the IEEE 86(11): 2278–2324, 1998.

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## Recap: Intuition of CNNs

- Convolutional net
  - Share the same parameters across different locations
  - Convolutions with learned kernels
- Learn *multiple* filters
  - E.g. 1000×1000 image
  - 100 filters
  - 10×10 filter size
  - ⇒ only 10k parameters
- Result: Response map
  - size: 1000×1000×100
  - Only memory, not params!

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## Recap: Convolution Layers

- All Neural Net activations arranged in 3 dimensions
  - Multiple neurons all looking at the same input region, stacked in depth
  - Form a single [1×1×depth] depth column in output volume.

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## Recap: Activation Maps

Activations: one filter = one depth slice (or activation map) 5x5 filters

Activations:

Each activation map is a depth slice through the output volume.

Activation maps

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Slide adapted from Fei-Fei Li, Andrej Karpathy, B. Leibe

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## Recap: Pooling Layers

Single depth slice

1	1	2	4
5	6	7	8
3	2	1	0
1	2	3	4

max pool with 2x2 filters and stride 2

6	8
3	4

- Effect:
  - Make the representation smaller without losing too much information
  - Achieve robustness to translations
  - Pooling happens independently across each slice, preserving the number of slices

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Slide adapted from Fei-Fei Li, Andrej Karpathy, B. Leibe

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- CNN Architectures
  - LeNet
  - AlexNet
  - VGGNet
  - GoogLeNet
  - ResNet
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## CNN Architectures: LeNet (1998)

- Early convolutional architecture
  - 2 Convolutional layers, 2 pooling layers
  - Fully-connected NN layers for classification
  - Successfully used for handwritten digit recognition (MNIST)

Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, [Gradient-based learning applied to document recognition](#), Proceedings of the IEEE 86(11): 2278–2324, 1998.

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Slide credit: Svetlana Lazebnik, B. Leibe

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## ImageNet Challenge 2012

- ImageNet
  - ~14M labeled internet images
  - 20k classes
  - Human labels via Amazon Mechanical Turk
- Challenge (ILSVRC)
  - 1.2 million training images
  - 1000 classes
  - Goal: Predict ground-truth class within top-5 responses
  - Currently one of the top benchmarks in Computer Vision

[Deng et al., CVPR'09]

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## CNN Architectures: AlexNet (2012)

- Similar framework as LeNet, but
  - Bigger model (7 hidden layers, 650k units, 60M parameters)
  - More data ( $10^6$  images instead of  $10^3$ )
  - GPU implementation
  - Better regularization and up-to-date tricks for training (Dropout)

A. Krizhevsky, I. Sutskever, and G. Hinton, [ImageNet Classification with Deep Convolutional Neural Networks](#), NIPS 2012.

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Image source: A. Krizhevsky, I. Sutskever, and G.E. Hinton, NIPS 2012

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### ILSVRC 2012 Results

Team	Top-5 error rate (%)
SuperVision	~16%
ISI	~26%
Oxford	~26%
INRIA	~26%
Amsterdam	~30%

- AlexNet almost halved the error rate
  - 16.4% error (top-5) vs. 26.2% for the next best approach
  - A revolution in Computer Vision
  - Acquired by Google in Jan '13, deployed in Google+ in May '13

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### CNN Architectures: VGGNet (2014/15)

K. Simonyan, A. Zisserman, [Very Deep Convolutional Networks for Large-Scale Image Recognition](#), ICLR 2015

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### CNN Architectures: VGGNet (2014/15)

- Main ideas
  - Deeper network
  - Stacked convolutional layers with smaller filters (+ nonlinearity)
  - Detailed evaluation of all components
- Results
  - Improved ILSVRC top-5 error rate to 6.7%.

A	A-LRN	B	C	D	E
11 weight layers	11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
conv-3-64	conv-3-64	conv-3-64	conv-3-64	conv-3-64	conv-3-64
	LRN	conv-3-64	conv-3-64	conv-3-64	conv-3-64
		maxpool	conv-3-128	conv-3-128	conv-3-128
conv-3-128	conv-3-128	conv-3-128	conv-3-128	conv-3-128	conv-3-128
		maxpool	conv-3-256	conv-3-256	conv-3-256
conv-3-256	conv-3-256	conv-3-256	conv-3-256	conv-3-256	conv-3-256
		maxpool	conv-3-512	conv-3-512	conv-3-512
conv-3-512	conv-3-512	conv-3-512	conv-3-512	conv-3-512	conv-3-512
		maxpool	conv-3-512	conv-3-512	conv-3-512
conv-3-512	conv-3-512	conv-3-512	conv-3-512	conv-3-512	conv-3-512
		maxpool	conv-1-4096	conv-1-4096	conv-1-4096
			conv-1-4096	conv-1-4096	conv-1-4096
			conv-1-1000	conv-1-1000	conv-1-1000
			soft-max	soft-max	soft-max

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### Comparison: AlexNet vs. VGGNet

- Receptive fields in the first layer
  - AlexNet:  $11 \times 11$ , stride 4
  - Zeiler & Fergus:  $7 \times 7$ , stride 2
  - VGGNet:  $3 \times 3$ , stride 1
- Why that?
  - If you stack a  $3 \times 3$  on top of another  $3 \times 3$  layer, you effectively get a  $5 \times 5$  receptive field.
  - With three  $3 \times 3$  layers, the receptive field is already  $7 \times 7$ .
  - But much fewer parameters:  $3 \cdot 3^2 = 27$  instead of  $7^2 = 49$ .
  - In addition, non-linearities in-between  $3 \times 3$  layers for additional discriminativity.

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### CNN Architectures: GoogLeNet (2014/2015)

- Main ideas
  - "Inception" module as modular component
  - Learns filters at several scales within each module

C. Szegedy, W. Liu, Y. Jia, et al. [Going Deeper with Convolutions](#), arXiv:1409.4842, 2014, CVPR'15, 2015.

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### GoogLeNet Visualization

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## Results on ILSVRC

Method	top-1 val. error (%)	top-5 val. error (%)	top-5 test error (%)
VGG (2 nets, multi-crop & dense eval.)	23.7	6.8	6.8
VGG (1 net, multi-crop & dense eval.)	24.4	7.1	7.0
VGG (ILSVRC submission, 7 nets, dense eval.)	24.7	7.5	7.3
GoogLeNet (Szegedy et al., 2014) (1 net)	-	-	7.9
GoogLeNet (Szegedy et al., 2014) (7 nets)	-	-	6.7
MSRA (He et al., 2014) (11 nets)	-	-	8.1
MSRA (He et al., 2014) (1 net)	27.9	9.1	9.1
Clarifai (Russakovsky et al., 2014) (multiple nets)	-	-	11.7
Clarifai (Russakovsky et al., 2014) (1 net)	-	-	12.5
Zeiler & Fergus (Zeiler & Fergus, 2013) (6 nets)	36.0	14.7	14.8
Zeiler & Fergus (Zeiler & Fergus, 2013) (1 net)	37.5	16.0	16.1
OverFeat (Sermanet et al., 2014) (7 nets)	34.0	13.2	13.6
OverFeat (Sermanet et al., 2014) (1 net)	35.7	14.2	-
Krizhevsky et al. (Krizhevsky et al., 2012) (5 nets)	38.1	16.4	16.4
Krizhevsky et al. (Krizhevsky et al., 2012) (1 net)	40.7	18.2	-

- VGGNet and GoogLeNet perform at similar level
  - Comparison: human performance ~5% [Karpathy]

<http://karpathy.github.io/2014/09/02/what-i-learned-from-competing-against-a-convnet-on-imagenet/>

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## Newer Developments: Residual Networks

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## Newer Developments: Residual Networks

- Core component
  - Skip connections bypassing each layer
  - Better propagation of gradients to the deeper layers
  - We'll analyze this mechanism in more detail later...

$$F(x) = \text{weight layer} \rightarrow \text{relu}$$

$$H(x) = F(x) + x \rightarrow \text{relu}$$

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## ImageNet Performance

Model	Layers	ImageNet Classification top-5 error (%)
ILSVRC'15 ResNet	152	3.57
ILSVRC'14 GoogleNet	22	6.7
ILSVRC'14 VGG	19	7.3
ILSVRC'13 AlexNet	8	11.7
ILSVRC'12 AlexNet	8	16.4
ILSVRC'11 AlexNet	shallow	25.8
ILSVRC'10 AlexNet	shallow	28.2

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## ILSRVC Winners

Year	Winner	Layers	Top-5 Error (%)
2010	Lin et al.	shallow	28.2
2011	Sanchez & Perronnin	shallow	25.8
2012	Krizhevsky et al. (AlexNet)	8 layers	16.4
2013	Zeiler & Fergus	8 layers	11.7
2014	Simonyan & Zisserman (VGG)	19 layers	7.3
2014	Szegedy et al. (GoogLeNet)	22 layers	6.7
2015	He et al. (ResNet)	152 layers	3.6
2016	Shao et al.	152 layers	3
2017	Hu et al. (SENet)	152 layers	2.3

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## Comparing Complexity

A. Canziano, A. Paszke, E. Cukurcello, [An Analysis of Deep Neural Network Models for Practical Applications](#), arXiv 2017.

Figure credit: Alfredo Canziano, Adam Paszke, Eugenio Cukurcello



### Visualizing CNNs

Layer 1

Layer 2

reconstruction of image patches from that unit (indicates aspect of patches which unit is sensitive to)

top 9 image patches that cause maximal activation in layer 2 unit

M. Zeiler, R. Fergus, *Visualizing and Understanding Convolutional Neural Networks*, ECCV 2014.

Slide credit: Richard Turner

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Image source: M. Zeiler, R. Fergus

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### Visualizing CNNs

Layer 2

Layer 3

reconstruction of image patches from that unit (indicates aspect of patches which unit is sensitive to)

top 9 image patches that cause maximal activation in layer 3 unit

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Image source: M. Zeiler, R. Fergus

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### Visualizing CNNs

Layer 4

Layer 5

reconstruction of image patches from that unit (indicates aspect of patches which unit is sensitive to)

top 9 image patches that cause maximal activation in layer 5 unit

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Image source: M. Zeiler, R. Fergus

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### What Does the Network React To?

- Occlusion Experiment
  - Mask part of the image with an occluding square.
  - Monitor the output

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Image source: M. Zeiler, R. Fergus

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### What Does the Network React To?

Input image

True Label: Pomeranian

p(True class)

Most probable class

■ Pomeranian  
 ■ Tennis ball  
 ■ Kitesurfer  
 ■ Pekinese

Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

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### What Does the Network React To?

Input image

True Label: Pomeranian

Total activation in most active 5<sup>th</sup> layer feature map

Other activations from the same feature map.

Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

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### What Does the Network React To?

Input image

True Label: Car Wheel

p(True class)

Most probable class

Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

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### What Does the Network React To?

Input image

True Label: Car Wheel

Total activation in most active 5<sup>th</sup> layer feature map

Other activations from the same feature map.

Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

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### What Does the Network React To?

Input image

True Label: Afghan Hound

p(True class)

Most probable class

Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

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### What Does the Network React To?

Input image

True Label: Afghan Hound

Total activation in most active 5<sup>th</sup> layer feature map

Other activations from the same feature map.

Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

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### Inceptionism: Dreaming ConvNets

optimize with prior

- Idea
  - Start with a random noise image.
  - Enhance the input image such as to enforce a particular response (e.g., banana).
  - Combine with prior constraint that image should have similar statistics as natural images.

⇒ Network hallucinates characteristics of the learned class.

<http://googleresearch.blogspot.de/2015/06/inceptionism-going-deeper-into-neural.html>

Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

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### Inceptionism: Dreaming ConvNets

- Results

<http://googleresearch.blogspot.de/2015/07/deepdream-code-example-for-visualizing.html>

Slide credit: Svetlana Lazebnik, Rob Fergus

Image source: M. Zeiler, R. Fergus

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# Inceptionism: Dreaming ConvNets



<https://www.youtube.com/watch?v=REsx-xWQ0g>

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# Topics of This Lecture

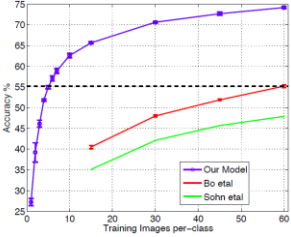
- Recap: CNNs
- CNN Architectures
  - LeNet
  - AlexNet
  - VGGNet
  - GoogLeNet
  - ResNets
- Visualizing CNNs
  - Visualizing CNN features
  - Visualizing responses
  - Visualizing learned structures
- Applications

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# The Learned Features are Generic



- Experiment: feature transfer
  - Train network on ImageNet
  - Chop off last layer and train classification layer on CalTech256

⇒ State of the art accuracy already with only 6 training images

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Image source: M. Zeiler, B. Fergus

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# Transfer Learning with CNNs

1. Train on ImageNet



2. If small dataset: fix all weights (treat CNN as fixed feature extractor), retrain only the classifier



I.e., swap the Softmax layer at the end

Slide credit: Andrei Karpathy

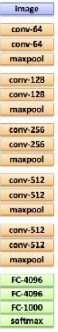
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# Transfer Learning with CNNs

1. Train on ImageNet



3. If you have a medium sized dataset, "finetune" instead: use the old weights as initialization, train the full network or only some of the higher layers.



Retrain bigger portion of the network

Slide credit: Andrei Karpathy

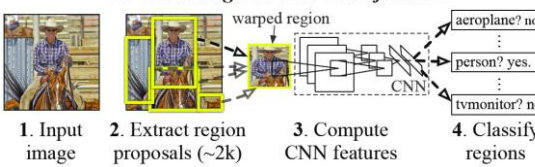
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# Other Tasks: Detection

## R-CNN: Regions with CNN features



- Input image
- Extract region proposals (~2k)
- Compute CNN features
- Classify regions

- Results on PASCAL VOC Detection benchmark
  - Pre-CNN state of the art: 35.1% mAP [Uijlings et al., 2013]
  - 33.4% mAP DPM
  - R-CNN: 53.7% mAP

R. Girshick, J. Donahue, T. Darrell, and J. Malik. [Rich Feature Hierarchies for Accurate Object Detection and Semantic Segmentation](#), CVPR 2014

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### Most Recent Version: Faster R-CNN

- One network, four losses
  - Remove dependence on external region proposal algorithm.
  - Instead, infer region proposals from same CNN.
  - Feature sharing
  - Joint training
  - Object detection in a single pass becomes possible.
  - mAP improved to >70%

Slide credit: Ross Girshick

### Faster R-CNN (based on ResNets)

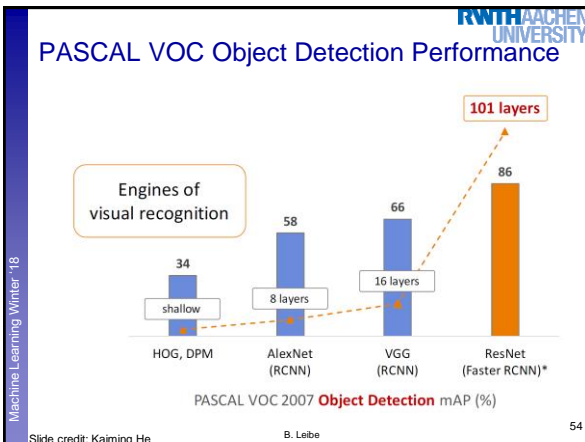
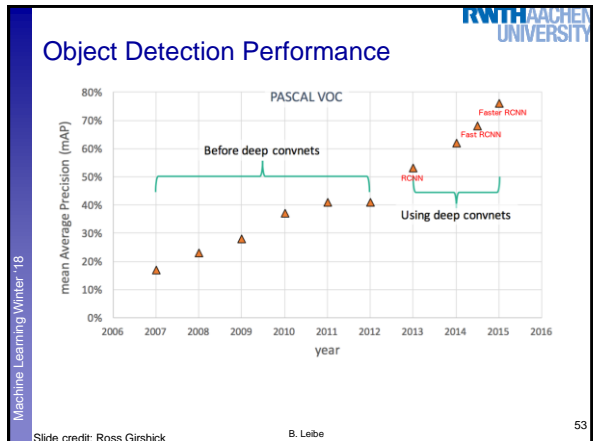
K. He, X. Zhang, S. Ren, J. Sun, [Deep Residual Learning for Image Recognition](#), CVPR 2016.

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### Faster R-CNN (based on ResNets)

K. He, X. Zhang, S. Ren, J. Sun, [Deep Residual Learning for Image Recognition](#), CVPR 2016.

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### Most Recent Version: Mask R-CNN

Classification Scores: C  
Box coordinates (per class):  $4 \times C$

Predict a mask for each of C classes  
 $C \times 14 \times 14$

K. He, G. Gkioxari, P. Dollár, R. Girshick, [Mask R-CNN](#), arXiv 1703.06870.

Slide credit: FeiFei Li

### Mask R-CNN Results

- Detection + Instance segmentation
- Detection + Pose estimation

Figure credit: K. He, G. Gkioxari, P. Dollár, B. Girshick

### YOLO / SSD

Input image  $3 \times H \times W$       Divide image into grid  $7 \times 7$

- Idea: Directly go from image to detection scores
- Within each grid cell
  - Start from a set of anchor boxes
  - Regress from each of the B anchor boxes to a final box
  - Predict scores for each of C classes (including background)

Slide credit: FeiFei Li

### YOLO-v3 Results

J. Redmon, S. Divvala, R. Girshick, A. Farhadi, You Only Look Once: Unified, Real-Time Object Detection, CVPR 2016.

### Semantic Image Segmentation

- Perform pixel-wise prediction task
  - Usually done using **Fully Convolutional Networks (FCNs)**
    - All operations formulated as convolutions
    - Advantage: can process arbitrarily sized images

Image source: Long, Shelhamer, Darrell

### CNNs vs. FCNs

- CNN
- FCN
- Intuition
  - Think of FCNs as performing a sliding-window classification, producing a heatmap of output scores for each class

Image source: Long, Shelhamer, Darrell


### Semantic Image Segmentation

- Encoder-Decoder Architecture
  - Problem: FCN output has low resolution
  - Solution: perform upsampling to get back to desired resolution
  - Use skip connections to preserve higher-resolution information

Image source: Newell et al.

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## Semantic Segmentation

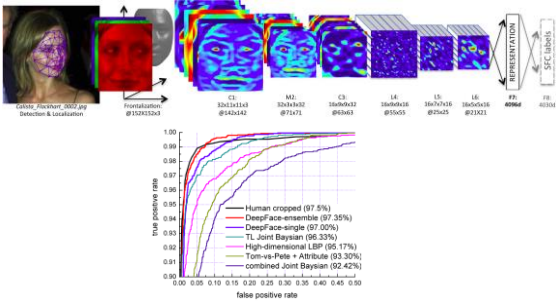


- Current state-of-the-art
  - Based on an extension of ResNets

[Pohlen, Hermans, Mathias, Leibe, CVPR 2017]

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## Other Tasks: Face Verification



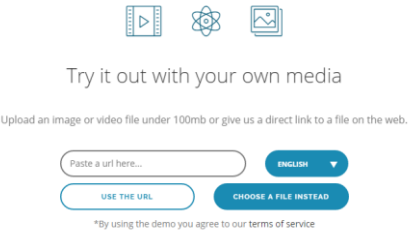
Y. Taigman, M. Yang, M. Ranzato, L. Wolf, [DeepFace: Closing the Gap to Human-Level Performance in Face Verification](#), CVPR 2014

Slide credit: Svetlana Lazebnik

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## Commercial Recognition Services

- E.g., **clarifai**



- Be careful when taking test images from Google Search
  - Chances are they may have been seen in the training set...

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Image source: clarifai.com

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## References and Further Reading

- LeNet
  - Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, [Gradient-based learning applied to document recognition](#), Proceedings of the IEEE 86(11): 2278–2324, 1998.
- AlexNet
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- GoogLeNet
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  - J. Redmon, S. Divvala, R. Girshick, A. Farhadi, You Only Look Once: Unified Real-Time Object Detection, CVPR 2016.
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  - H. Zhao, J. Shi, X. Qi, X. Wang, J. Jia, Pyramid Scene Parsing Network, arXiv 1612.01105, 2016.